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1 **A cross sectional survey examining the association between therapeutic relationships and service**
2 **user satisfaction in forensic mental health settings**

3

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1 **A cross sectional survey examining the association between therapeutic relationships and service**
2 **user satisfaction in forensic mental health settings**

3 **Abstract**

4 Background

5 This small-scale study examines an often neglected patient group (service users in forensic mental
6 health settings). The research investigates their therapeutic relationship with staff and which
7 therapeutic relationship factors are associated with their level of satisfaction with services.

8 Methods

9 A cross sectional survey was undertaken in two medium secure units in the UK with seventy seven
10 participants completing self-report measures examining service user satisfaction with services and
11 their therapeutic relationship with staff. Multiple regression analysis was used to identify the main
12 predictor variables associated with satisfaction with the service provided.

13 Results

14 The respondents had a generally positive view of services and also of their therapeutic relationships
15 with staff. However, the therapeutic relationship scores were lower than those recorded in
16 community samples. One predictor variable was significantly associated with service user
17 satisfaction; feeling respected and well regarded.

18 Conclusions

19 The therapeutic relationship domain of being respected and well regarded by staff was identified as
20 the most significant factor among the therapeutic relationship domains when examining the
21 association with satisfaction with services. The important role mental health clinicians play in
22 enabling service users to recognize they are being treated respectfully is noted as service users judge
23 the degree of honesty, caring and interest that staff show in them. Staff also need to be available

1 and accessible while having good listening and information giving skills. The importance of having
2 both positive therapeutic relationships and service user satisfaction in forensic settings is also
3 discussed.

4 Keywords: Forensic; Mental Health; Regard; Respect; Satisfaction; Therapeutic Relationship

5 **Background**

6 Forensic mental health care is defined as the care of mentally disordered offenders; people who
7 have been in contact with the criminal justice system and who have been transferred to secure
8 hospitals [1]. There are often problems with engagement and staff must meet the therapeutic needs
9 of patients whilst addressing legal, security and public safety issues. The increasing importance of
10 forensic mental health care can be shown by an increase in medium secure unit beds in NHS Trusts
11 in England and Wales from a total of 2,500 in 1997 to 3,723 in July 2007 [1].

12 The Best Practice Guidelines in Medium Secure Units [2] state the therapeutic alliance between staff
13 and patients is at the centre of high-quality care and treatment in secure settings. This has been
14 most often noted when discussing the importance of the term relational security which has been
15 described as the therapeutic alliance between staff and patients in continuing risk assessment and
16 detailed knowledge of the patient [3]. The Royal College of Psychiatrists [4] have described it as the
17 most important type of security in mental health work as it achieves safety through establishing
18 good rapport and an effective therapeutic alliance between patients and staff. In the Department of
19 Health guide to relational security an appropriate relationship was viewed as being professional,
20 therapeutic, and purposeful with understood limits [5]. This emphasises the need for staff members
21 within a secure setting to be aware of the nature and boundaries of any therapeutic relationship.
22 Developing good therapeutic relationships has the potential for producing clinical and social benefits
23 so it is important to be able to ascertain the ways in which they influence service users' perceptions
24 of their care and treatment. Dissatisfaction with forensic services is often related to service user's

1 concerns about therapeutic relationships thus highlighting the importance of this relationship and its
2 association with service satisfaction [6].

3 Satisfaction

4 The National Institute for Mental Health England [7] in their review of forensic mental health
5 services noted that services should seek to build mechanisms and services that involve service users
6 and respond to their views. Measuring service user satisfaction can be viewed as a way of identifying
7 the service user's perspective. It is viewed important as successful treatment cannot take place
8 unless there is some satisfaction with the service adding that service user satisfaction provides a way
9 of assessing and monitoring service quality over a period of time [8].

10 Until recently, the work looking at service user satisfaction in secure mental health settings has been
11 limited [7]. It was common for results to be recorded indicating that over 90% of respondents were
12 satisfied with their care [9-11] with this attributed to poor research methods thus invalidating the
13 findings [6]. More robust satisfaction surveys have been carried out in adult acute mental health
14 settings concluding that higher amounts of perceived coercion are associated with greater
15 dissatisfaction with formally detained clients less satisfied than those admitted informally [12,13].
16 Recent developments in examining satisfaction in secure mental health settings have included the
17 development of more valid measures [14].

18

19 Therapeutic Relationships

20 In mental health care, the therapeutic relationship has been described as the central element of care
21 by which diagnoses are made, care plans negotiated and most interventions delivered [15]. The
22 relationship is the psychological construct held by the individuals participating in the therapeutic
23 relationship. It can last for seconds or decades and have a positive or negative effect. Therefore, the
24 term therapeutic relationship can be viewed as a descriptive expression. What happens when

1 people meet up and engage with each other is a prerequisite for building a relationship and is the
2 cornerstone of mental health care with the culture and atmosphere of a ward determined by what
3 happens in these encounters [16].

4 From a research perspective, most of the early studies examining therapeutic relationships focused
5 on psychotherapeutic relationships while more recent studies have started to examine the
6 therapeutic relationship in psychiatric settings. However, there are differences in the structure of
7 care in psychiatric settings as opposed to psychotherapy. These include that fact that health
8 professionals often have a statutory role in these settings. Additionally, the clinician often initiates
9 the treatment relationship and the timescale for treatment is usually not fixed. Within psychiatric
10 settings the focus is often more on psychiatric intervention and practical support as opposed to
11 emotional and cognitive processes, and there are often several relationships with different
12 professionals involved in the care process. Thus findings from psychotherapy research are unlikely to
13 be transferrable to these mental health settings.

14 In research undertaken in mental health settings, the therapeutic relationship has been shown to be
15 influential in predicting positive outcomes in mental health care such as reducing hospitalization,
16 symptomatology and social disability, and increasing engagement with services, medication
17 adherence and global functioning [17-19]. Additionally, the therapeutic relationship, and specifically
18 the degree of helpfulness perceived by the service user, has been viewed as was arguably the most
19 important interpersonal variable in predicting attendance at psychiatric out-patient appointments
20 [20]. A number of commentators have also identified that being non-judgmental, empathetic and
21 respectful positively influences the relationship between staff and service users [21-22]. However,
22 there is a limited amount of information concerning the therapeutic approaches used in secure
23 mental health settings and whether the therapeutic relationship between service user and staff is an
24 important factor in the service user's perceptions of their care and treatment [23].

25 This study examined the following:

- 1 • How do service users in a forensic mental health setting view the therapeutic relationship
- 2 with staff?
- 3 • How do service users in a forensic mental health setting view satisfaction with services
- 4 • What therapeutic relationship factors are associated with service user satisfaction in secure
- 5 mental health settings?

6

7 **Method**

8 Design

9 The study used a cross sectional survey design with participants being asked to complete two self-
10 report questionnaires at one time point.

11 Participants

12 All the service users in two Medium Secure Units (one in London and one in the North of England)
13 were eligible to participate in the study with the only exclusion criterion being that the service user
14 was not able to complete the assessments due to mental capacity. The researchers were guided by
15 the clinical team with the final decision regarding a potential participant's ability to participate
16 determined by the Consultant Psychiatrist in charge of their care. Potential participants were
17 approached and informed about the project through a variety of methods; the use of posters on the
18 ward, verbal information given at ward meetings, and their key worker talking to them about the
19 study. Each service user was also given written information about the study and the opportunity to
20 discuss any concerns or objections prior to being asked to give informed consent. Anyone expressing
21 an interest in being part of the study was introduced by their key worker to a member of the
22 research team independent of the treating team who obtained informed consent and assisted any
23 service users who requested help to complete the assessments in the clinical area. The participants

1 were paid a nominal amount (£15) for each completed set of assessments as reimbursement for
2 their time and expertise.

3 Data Collection

4 Demographic information was requested regarding age, gender, ethnicity and length of inpatient
5 stay. In addition, two assessment measures were completed examining the therapeutic relationship
6 and service user satisfaction.

7 Satisfaction

8 This was measured using the Forensic Satisfaction Scale (FSS) [14]. It is a 60-item measure which asks
9 respondents to rate their satisfaction with a range of services on a five point Likert scale. The scale
10 records levels of satisfaction on seven domains (staff interaction, rehabilitation, communication,
11 milieu, finance, safety, and overall care) as well as giving a total score. The internal reliability of the
12 scale was reported as $\alpha = 0.9$ with the scale having good concurrent validity with the Verona Service
13 Satisfaction Scale [24]. Mean scores are calculated with higher scores indicating greater levels of
14 satisfaction.

15 Therapeutic Relationship

16 The perceived value of the therapeutic relationship was assessed using the revised Helping Alliances
17 Scale (HAS) [18]. This three-item scale assesses: feeling respected and well regarded, beliefs about
18 receiving the right treatment, and feeling understood by clinical staff. The questions are answered
19 via a 100mm long visual analogue scale with the extreme points being 0mm = not at all and 100mm
20 = entirely. Each 10mm point is marked so it has the appearance of an eleven-point scale. The scores
21 can range from 0 to 100. In addition, to each individual scale score being recorded the average
22 overall score is also sometimes used. The HAS has good reliability and predictive validity. It is
23 reported that the HAS is the most frequently used scale used when measuring the quality of the
24 therapeutic relationship in research evaluating care of people with severe mental illness [25]. The

1 measure had not previously been used to examine therapeutic relationships in forensic mental
2 health settings but was considered a valid and efficient way of measuring the therapeutic
3 relationship between users and staff in secure settings in the absence of any validated measure for
4 use in this setting.

5 Analysis

6 The demographic data were recorded descriptively as were the HAS and FSS scores. Following this,
7 regression analysis was undertaken using SPSS 18 to examine whether the scores of the three HAS
8 domains (feeling respected and regarded, beliefs about receiving the right treatment and feeling
9 understood by clinical staff) were predictive of the FSS total score.

10 Ethical Considerations

11 The study was conducted in compliance with the Declaration of Helsinki [26]. Ethical approval was
12 granted by the Faculty of Health and Social Care Research Ethics Committee at Canterbury Christ
13 Church University (Ref: FHSC/024/E) with the study also approved by the relevant Research and
14 Development office for each unit.

15

16 Results

17 77 participants completed the two measures out of a possible 139 residing in the units; a response
18 rate of 55.4%. No differences between the two units were recorded in either the HAS or FSS scores.

19 The demographic characteristics of the participants are recorded in Table One.

20 (Table 1 Here)

21 The ages of the participants varied with similar numbers of participants from the four identified age
22 ranges. The majority were male 65 (84%) with 12 (16%) female respondents. This is similar to the
23 proportions recorded in medium secure mental health settings in England [27-28]. In relation to

1 ethnicity, the most frequently recorded was White British while twenty recorded themselves as
2 being of Black British, African or Caribbean origin. The length of in-patient stay varied with the 34 of
3 the participants (44.2%) having been admitted over two years previously and sixteen (20.8%)
4 admitted within the previous six months.

5 T-tests and ANOVAs were undertaken on the demographic data to ascertain whether there were any
6 differences between demographic factors. To enable a reasonable comparison, the ethnicity of
7 respondents was collapsed to two variables (white and other). No significant differences were found
8 in any of the scores.

9 The HAS and FSS scores are recorded in Table Two.

10 (Table 2 Here)

11 FSS Scores

12 The total FSS score was 3.08 (sd 0.45). This score was just above the mid-point score of 3 and
13 suggests that there is an overall reasonable level of satisfaction with services being recorded by the
14 users in these two units.

15 HAS scores

16 All three domains on the HAS scored just above 60 suggesting there was general agreement the
17 service users had a positive view of the relationship with clinicians at the units.

18 There were positive correlations between all three of the HAS domains and the total FSS score
19 indicating that an increase in the therapeutic alliance results in a corresponding increase in service
20 user satisfaction. Although the correlations were all statistically significant ($p = < 0.001$), the three
21 correlations can all be considered as showing a moderate level of association [29].

22 Regression analysis

1 Multiple regression analysis was performed with the three HAS variables put in as predictor variables
2 and the FSS total score as the dependent variable. The guidance that at least 20 participants were
3 included in the analysis for each predictor variable was adhered to [30]. The ANOVA score $F(40.839,$
4 $df(1, p < 0.001)$ indicated significant linear relationships between the independent variables and the
5 dependent variable. The results of the regression analysis indicated that these three predictors
6 explained 34.8% of the variance ($R^2 = 0.348$) with the only significant predictor the being respected
7 and well regarded variable. All of the predictor variables were positively correlated with the
8 satisfaction score signifying that an increase in a positive perception in the therapeutic relationship
9 was associated with an increase in the satisfaction with the service. The correlations between the
10 three predictor variables ranged from $r = 0.653$ to $r = 0.722$. The standardised beta coefficients
11 ranged from 0.056 to 0.439 while the tolerance values were all well above 0.1 indicating that
12 multicollinearity was not a problem. Using backward regression, the mean being respected score
13 independently predicted 34.7% of the total variance for the FSS total score (Constant = 2.516, $R^2 =$
14 $0.347, \beta = 0.596, t = 6.391, p < 0.001$). The unstandardized regression co-efficient was 0.08 signifying
15 that for each 10 points scored on the being respected scale, the satisfaction score increases by 0.8.

16 **Discussion**

17 The results indicated there was a generally positive view of the therapeutic relationship with staff in
18 these secure settings. Due to the lack of studies exploring therapeutic alliances in forensic mental
19 health settings, it is difficult to examine direct comparisons. The HAS scores in this study correspond
20 to a study of community patients [17] which recorded; feeling respected and regarded (66.2 sd
21 24.7), beliefs about receiving the right treatment (79.5 sd 22.9), and feeling understood by clinical
22 staff (73.5 sd 27.4). Another assessment of users in community services [31] also noted higher HAS
23 scores with the overall average HAS score ranging from 75.09 to 77.27 over a six month period. The
24 indication is the recorded therapeutic relationship scores in this cohort were not as high as those
25 noted by community mental health service users in previous studies. These correspond with the

1 findings of a study of service users in an assertive outreach service [32] which recorded lower scores
2 with an overall HAS score of 63.5 for new patients and 66.6 for established patients and similar to
3 the scores recorded in this study. This suggests that the secure nature of the service and the
4 custodial role that staff members working in forensic mental health settings sometimes perform may
5 influence the nature and perspective of the therapeutic relationship. It also implies the need for
6 clinicians to instigate strategies to promote and maintain the therapeutic relationship is extremely
7 important.

8 The results also recorded there was overall satisfaction with the services provided which is
9 consistent with other studies. It is near to the findings recorded in previous studies [14, 33] with
10 scores of 3.09 and 3.23 respectively suggesting these satisfaction levels are similar to those in
11 previous studies of medium secure units. In addition, the results supported the view that the
12 therapeutic relationship between service users and staff is a significant element in determining the
13 level of service user satisfaction. The findings indicate that although all three therapeutic
14 relationship domains positively impacted upon service user satisfaction, being respected and well
15 regarded was the most significant factor. This is of major importance to service users in secure
16 settings where they are dependent on staff. It is enhanced in these settings as being respected by
17 staff is one of the essential elements of a caring relationship with service users and plays a large part
18 in the perceptions of the service [16].

19 Being respected and regarded

20 Respect for service user dignity is an important element of identifying good psychiatric care [34].
21 When service users reported not being respected this led to loneliness and lack of dignity [35, 36]
22 with a lack of respect from staff also viewed as leading to service users disengaging from contact
23 with services [16]. When being respected service users viewed their experiences of the service as
24 positive while, conversely, not being respected resulted in negative opinions being expressed [37].

1 Service users reported being respected when they were treated as a human being and not as
2 someone inferior. This manifests itself in the ward environment through the perception of being
3 spoken to the same way as other people and being allowed to express their emotions [37]. Service
4 users also reported their worst experience was when they were dismissed as an object rather than
5 someone with basic human rights [38].

6 The central role of forensic mental health staff in ensuring that service users are treated with respect
7 has been pointed out by many authors noting the important skills required in developing the
8 perception of being respectful of service users. Service users believe respect is linked to a clinician's
9 personal qualities of humanity, equality and being non-judgmental and that they differentiate
10 between staff members perceived as genuinely interested in them and those viewed as uncaring
11 [23.39]. They are more trusting of, and able to develop therapeutic relationships with, staff
12 members perceived as helpful. Additionally, they view negatively those staff members perceived as
13 uncaring or disinterested and, consequently, disengage with this group of staff. Service users
14 perceive professionals show respect when they are flexible in their approach, when they are
15 supportive despite having conflicting views at times, and by admitting their mistakes when they are
16 wrong [16]. Being honest and trustful was also a major element of showing regard for the service
17 user and this was demonstrated by staff members being true to their word and showing a genuine
18 interest in service users [40].

19 Availability of staff

20 Another important factor identified as a way of showing respect to the service user is the need for
21 staff to be available with the accessibility of staff perceived as a sign that clinicians wish to engage
22 with service users [16]. When staff are not accessible, this is perceived as indicating a lack of
23 interest, which in turn negatively impacts upon the development of positive therapeutic
24 relationships. Staff not having time to engage with service users, and being too distant, are
25 associated with a perceived lack of respect [37]. It has also been observed that interpersonal

1 relationships have to be trustful for a respectful relationship to develop and for this to occur, staff
2 need to spend time with service users [41,42]. This is supported by previous studies in secure
3 settings where service users reported dissatisfaction about staff members spending limited time
4 with users [39,43,44].

5 Listening to service user views

6 The ability to listen to service user views and opinions is also considered important. This is because
7 being listened to is linked to being heard, being treated with respect, and being recognised as a
8 human being [45] while feeling respected is associated with clinicians' good communication skills
9 including listening, talking and understanding [46]. It has been reported there is a direct relationship
10 between a clinician's ability to listen and a consequent feeling of respect by service users [47]. It is
11 also suggested that service users want staff to be truly empathetic as this shows they can recognise
12 and anticipate their needs while on a clinical level increased empathy reduces user aggression
13 [48,49]. Giving correct and relevant information has also been identified as a key clinical skill with
14 service users associating respectful treatment with being given relevant information particularly
15 regarding their treatment or legal rights [37,41].

16 Research in forensic settings

17 As previously noted, there is only a limited amount of empirical literature looking at therapeutic
18 relationships and user satisfaction in forensic mental health settings. However within these studies,
19 there is some evidence to support the importance of having a positive therapeutic relationship,
20 particularly being respected and well regarded by staff members, and its association with a positive
21 view of the service. A study of women in a medium secure unit, although focusing on the
22 psychotherapeutic alliance, found that a positive therapeutic relationship was associated with a
23 positive view of services and also lower levels of security, less behavioural disturbance, and higher
24 levels of motivation and treatment engagement [50]. It was further stated that the therapeutic

1 alliance was a key moderator of the likelihood of inpatient violence and disturbed behaviour.
2 Another study examined the reasons for absconding from forensic mental health units and recorded
3 these were primarily through a sense of boredom or frustration. The conclusions drawn were that a
4 creation of a consistent, transparent and respectful milieu reduced absconding. In addition, these
5 patients were as being a high risk for future violence and that a respectful environment would
6 reduce the risk of violence [51]. Studies undertaken in prison settings have also noted the
7 importance of both therapeutic relationship and satisfaction. A significant relationship between
8 therapeutic relationship and risk factors for violence was found and that staff responsiveness was
9 particularly important for those with at risk of suicide or self-harm. Staff who listened to prisoners
10 needs counteracted the significant frustration, powerlessness and uncertainty that health problems
11 experienced in prison engendered [52,53]. Although none of these studies directly examined the
12 variables, the strong inference is that a positive therapeutic relationship and high level of service
13 user satisfaction is likely to reduce a range of difficult behaviours and also reduce levels of
14 frustration and powerlessness in the service user group.

15 The results of this study revealed that being respected and well regarded by staff members was the
16 most important therapeutic relationship factor influencing service user satisfaction in forensic
17 mental health settings. It can be seen that when a service user perceives they are being treated with
18 respect, they feel more positive about themselves, their treatment, and the clinical staff. This results
19 in greater levels of satisfaction with the service. It also allows for a greater degree of discourse
20 surrounding their care and treatment. Conversely, when they do not perceive they are being
21 respected, they have negative views about their experiences and care provided. The availability and
22 accessibility of staff is also important. If staff are often unavailable, they are perceived as being
23 disinterested by service users which in turn leads to a negative therapeutic relationship. For a
24 positive interpersonal relationship to be in place, regular contact is required. The importance of
25 positive therapeutic relationships and service user satisfaction can be denoted by the relationship of
26 these concepts to behavioural disturbance.

1 Limitations

2 There are some potential limitations of the study. The number of participants is relatively small and
3 selected from two units. It is also a cross-sectional study and it is recognised that both therapeutic
4 alliances and satisfaction are both dynamic processes so a study focused on one time point would
5 not be able to capture these developments. In addition, service users and staff may have different
6 perceptions of the therapeutic relationship and satisfaction with services and it may have been
7 helpful to have examined the views of staff as well as service users. Accordingly some caution should
8 be exercised regarding the generalisability of the findings and further work in this area would be
9 useful.

10 **Conclusions**

11 The findings of this study show that having a positive therapeutic relationship between clinicians and
12 service users is important for service users when judging their satisfaction with the care and
13 treatment received in secure settings. In this cohort, being respected was the one factor found to
14 significantly influence satisfaction. Mental health professionals working in forensic in-patient
15 settings have on-going contact with service users and play an instrumental role in determining
16 service users' perceptions of the therapeutic relationship. It appears that the user perceiving that
17 staff treating them as equals with individual needs and also accessible and available are the two
18 main areas that impact upon service users' perceptions as to whether they are being treated with
19 respect or not.

20 The therapeutic relationship domain of being respected and well regarded by staff was identified as
21 the most significant factor among the therapeutic relationship domains in predicting satisfaction
22 with services. The important role mental health staff play in enabling service users to recognize they
23 are being treated respectfully was noted. Service users judged the degree of honesty caring and
24 interest that staff showed in them. It was also found that being available and accessible were

1 important for the development of a positive therapeutic relationship while listening and information
2 giving skills were important features in showing respect to the service user. In forensic mental health
3 settings creating an environment where staff focus on developing respect and regard for service
4 users may well be a powerful way of reducing behavioural disturbance and increasing the level of
5 therapeutic engagement in these specialist areas.

6 **Abbreviations**

7 FSS, Forensic Satisfaction Scale; HAS, Helping Alliances Scale.

8 **Competing interests**

9 The authors declare that they have no competing interests.

10 **Authors' contributions**

11 DM was the Chief Investigator of the study and coordinated the writing of the protocol and oversaw
12 the day-to-day management of the project, DBe was involved in developing the protocol and was
13 the project supervisor, DBr, TF and HC helped develop the original proposal, DBr and TF collected
14 the study data. All authors contributed substantially to the analysis, the interpretation of the results
15 and to the drafting of the manuscript.

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