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4

5 **Population genomics applications for conservation: the case of the tropical dry forest dweller**

6 ***Peromyscus melanophrys***

7 Rodrigo Vega^{1,2,3*}, Ella Vázquez-Domínguez^{3*}, Thomas A. White^{1,2}, David Valenzuela-Galván⁴ and Jeremy
8 B. Searle².

9 ¹ Section of Life Sciences, School of Human and Life Sciences, North Holmes Road, Canterbury Christ
10 Church University, Canterbury, Kent, CT1 1QU, UK.

11 ² Department of Ecology and Evolutionary Biology, Corson Hall, Cornell University, Ithaca, NY 14853-
12 2701, USA.

13 ³ Departamento de Ecología de la Biodiversidad, Instituto de Ecología, Universidad Nacional Autónoma
14 de México (UNAM), Ciudad Universitaria, México DF 04510, México.

15 ⁴ Centro de Investigación en Biodiversidad y Conservación (CIByC), Universidad Autónoma del Estado de
16 Morelos (UAEM), Cuernavaca, Morelos, México.

17 *These authors contributed equally to this work.

18

19 Corresponding author:

20 Rodrigo Vega. Section of Life Sciences, School of Human and Life Sciences, North Holmes Road,
21 Canterbury Christ Church University, Canterbury, Kent, CT1 1QU, UK.

22 Email: rodrigo.vega@canterbury.ac.uk (Tel: +44-1-227-782275, Fax: +44-1-227-767531)

23

24 **Abstract**

25 Recent advances in genomic sequencing have opened new horizons in the study of population genetics
26 and evolution in non-model organisms. However, very few population genomic studies have been
27 performed on wild mammals to understand how the landscape affects the genetic structure of
28 populations, useful information for the conservation of biodiversity. Here, we applied a genomic
29 approach to evaluate the relationship between habitat features and genetic patterns at spatial and
30 temporal scales in an endangered ecosystem, the Tropical Dry Forest (TDF). We studied populations of
31 the Plateau deer mouse *Peromyscus melanophrys* to analyse its genomic diversity and structure in a TDF
32 protected area in the Huautla Mountain Range (HMR), Mexico based on 8,209 SNPs obtained through
33 Genotyping-by-Sequencing. At a spatial scale, we found a significant signature of isolation-by-distance,
34 few significant differences in genetic diversity indices among study sites, and no significant differences
35 between habitats with different levels of human perturbation. At a temporal scale, while genetic
36 diversity levels fluctuated significantly over time, neither seasonality nor disturbance levels had a
37 significant effect. Also, outlier analysis revealed loci potentially under selection. Our results suggest that
38 the population genetics of *P. melanophrys* may be little impacted by anthropogenic disturbances, or by
39 natural spatial and temporal habitat heterogeneity in our study area. The genome-wide approach
40 adopted here provides data of value for conservation planning, and a baseline to be used as a reference
41 for future studies on the effects of habitat fragmentation and seasonality in the HMR and in TDF.

42

43 Keywords: Conservation genetics; Global Ecoregion; Mexico; non-model organism; rodents; SNP.

44 **Introduction**

45 Developments in high-throughput sequencing and computing permit analysis of thousands of DNA
46 polymorphisms and allow a vast range of population genetic and evolutionary questions to be addressed
47 in non-model organisms (Allendorf et al. 2010; Narum et al. 2013; Ellegren 2014); however, there are still
48 very few genomic studies on wild mammals (but see Miller et al. 2011; White et al. 2013). Genomic
49 studies have enormous potential to assess aspects of biodiversity conservation, providing the tools to
50 address the effects of habitat change, at fine scales, on genetic structure, diversity and local adaptation
51 (Allendorf et al. 2010). Moreover, spatial and temporal genomic studies of natural populations can help
52 understand the effects of factors like seasonality, fragmentation and human activities, among others, on
53 population genetic diversity and structure (Kettle 2014). Such information can help establish
54 conservation priorities and management plans in heterogeneous, perturbed or even well conserved
55 habitats like nature reserves. In addition, population and conservation genetics aim to understand how
56 the landscape affects the genetic structure of populations (Manel et al. 2003). The negative effects of
57 habitat loss on natural populations can result from a decrease in overall habitat availability, changing the
58 spatial organisation of resources and habitat quality within fragments (Fahrig 2003; Ezard and Travis
59 2006). Fragmentation decreases effective population size and gene flow, further eroding neutral and
60 adaptive genetic diversity of natural populations, lowering the evolutionary potential and increasing the
61 extinction risk through time (Frankham 2005).

62 The Tropical Dry Forest (TDF) is one of the most extensive tropical vegetation types in
63 Mesoamerica, of major cultural and economic importance, and with high levels of biological diversity
64 and endemism harbouring about 19% of Mesoamerican endemic fauna (Miles et al. 2006; Ceballos et al.
65 2010). The Mexican TDF has been recognised as a Global Ecoregion —the most diverse tropical dry
66 forests in the world— a habitat that is also highly endangered (WWF 2000). Habitat loss is one of the
67 most important threats for biodiversity (Fischer and Lindenmayer 2007) and the TDF has one of the

68 fastest rates of deforestation and land conversion of all the tropical forests, with only about 27% of the
69 original forests remaining intact and 73% showing varying levels of alteration (Miles et al. 2006; Ceballos
70 et al. 2010). Habitat loss and fragmentation can also be associated with extirpation of species and
71 populations and declines in local species abundance, with consequent potential genetic erosion and
72 decrease of genetic diversity; processes that can have more subtle but no less important negative effects
73 on biodiversity (Frankham 2005; Dirzo et al. 2014). As a result, the maintenance of species, species
74 interactions and ecosystem functioning within the TDF may be significantly hindered at different spatial
75 and temporal scales.

76 It should be noted in the TDF that seasonality in addition to habitat alteration can have a
77 profound impact on animal survival and behaviour, and can also directly affect patterns of genetic
78 diversity and structure in animal species (Vázquez-Domínguez et al. 2002; Ceballos and Valenzuela 2010;
79 Liu et al. 2013).

80 Of the animals found in the TDF, rodents play a key role because they are the main seed
81 predators and dispersers of many plant species (Ceballos et al. 2010). Moreover, studies have also shown
82 that rodent species (*e.g. Liomys pictus, Heteromys spp., Baiomys musculus, Peromyscus melanophrys*)
83 show dynamic responses to habitat change, fragmentation and seasonality at the population and
84 community levels, with changes in abundance, biomass, density, genetic diversity, among other
85 characteristics (Vázquez-Domínguez et al. 1998, 1999, 2002; Vargas et al. 2012; Mussali-Galante et al.
86 2013; Garrido-Garduño et al. 2015).

87 The Plateau deer mouse *Peromyscus melanophrys* (Rodentia; Cricetidae) is a Mexican endemic
88 species, nocturnal, mostly herbivorous and with semi-arboreal habits (Álvarez-Castañeda et al. 2008); it
89 is abundant and widespread over a geographic range that includes a great proportion of undisturbed
90 habitat across 18 of the 32 Mexican states, several natural protected areas and a great variety of habitats
91 ranging from sea level up to 2,700 m altitude (Aragón 2005), but it is not found in highly disturbed

92 habitats and in urban or suburban environments. The species is short lived, likely between one and two
93 years (Shug et al. 1991; Aragón 2005), it is easily captured, it has been considered as a biomonitor
94 indicating environmental quality (Tovar-Sánchez et al. 2012). Individual movement is known to be, on
95 average, less than 200 m, while home ranges vary (in other *Peromyscus* species) between 0.5 to 1.2 ha,
96 which is dependant on habitat type (e.g. larges home ranges in desert environments) (Stickel 1968;
97 MacSwiney et al. 2012). Hence, *P. melanophrys* is a suitable study system to evaluate the relationship
98 between habitat features and genetic patterns at spatial and temporal levels (Lui et al. 2013) under a
99 population genomics approach, both because of the good sample numbers required for these techniques
100 (Davey et al. 2011), and because results can serve as a basis for applying this approach for management
101 and conservation of ecologically similar but less abundant species.

102 Accordingly, our aim was to study the population genomics of this species, distributed both in
103 undisturbed and disturbed habitats in one of the largest protected areas of TDF in Mexico, the Sierra de
104 Huautla Biosphere Reserve (SHBR) (CONANP 2005), which is embedded within the Huautla Mountain
105 Range (HMR), an extensive high elevation area dominated by TDF vegetation. Previous genetic studies
106 show that *P. melanophrys* from this area belongs to a well-defined phylogeographic lineage distributed
107 along the Balsas River basin (Castañeda-Rico et al. 2014). We used high-throughput sequencing to
108 develop Single Nucleotide Polymorphisms (SNPs) to achieve our objectives: 1) to analyse the spatial and
109 temporal genomic diversity and structure of *P. melanophrys* in a heterogeneous landscape within the
110 HMR, 2) to evaluate if density and biomass varies spatially and temporally and if this correlates with the
111 genomic variation of the species, and 3) to discuss the conservation implications of our findings and
112 establish genetic metrics that may be used as a reference for future studies on the effects of habitat
113 fragmentation in TDF and other natural systems. We would expect density, biomass and genetic diversity
114 of *P. melanophrys* to be lower in disturbed vs undisturbed habitats, due to lower tree cover and lower

115 plant diversity and food availability, whereas we predict high genetic structure among sites across the
116 HMR.

117

118 **Materials and methods**

119 Study region and vegetation regime

120 Sampling sites were located along the HMR and in the SHBR (Fig. 1), a 600 km² natural protected area
121 characterized by a strong seasonality (Online Resource Fig. S1), and composed of a patchy landscape of
122 well-preserved habitat (mostly TDF) intermixed with agricultural land, secondary vegetation and
123 disturbed forest areas (CONANP 2005). Land-use changes and the disturbance history in the HMR has
124 been long-term and gradual, and the main sources of disturbance at the TDF in the HMR are still
125 extensive cattle raising, selective logging and the opening of agricultural lands (Maass et al. 2010). Each
126 site was classified as disturbed (D) or undisturbed (U) based on vegetation regime (see below) as follows:
127 Axuchitlán (Axu-D, Axu-U), Quilamula (Qui-D, Qui-U) and Xantiopan (Xan-D, Xan-U) at the centre of the
128 SHBR. Samples were also obtained between 2006 and 2011 from two sites from the northeast, El Limón-
129 1 (Lim-1) and El Limón-2 (Lim-2), and from two sites in the southeast, El Salado (Sal-1) and Teotlaco (Teo-
130 1) (with no information on vegetation regime).

131 To define the vegetation regime (*i.e.* disturbed and undisturbed) for the sites in the central part
132 of the eastern HMR, satellite images for 1 km² sampling sites were used and the proportional tree
133 coverage with different vegetation type within each 1 km² grid cell was assessed. Disturbed sites were
134 pre-identified as having a low percentage (60% or less) of TDF coverage (and less than 40% of it
135 considered to be well preserved TDF) and more than 30% of tree coverage represented by other
136 vegetation types including secondary vegetation, agricultural fields and grasslands. Disturbed sites also
137 have a high foraging activity of livestock. Undisturbed sites were pre-defined as having 80% or more of a
138 predominantly dense, continuous and heterogeneous TDF coverage (with at least 40% of it considered to

139 be well preserved TDF), and a low percentage (<10%) of ground coverage represented by other
140 vegetation types including agricultural lands. Undisturbed sites have a low foraging activity of livestock.
141 Pairs of nearby disturbed and undisturbed sites that contrasted the most in terms of vegetation coverage
142 were selected for rodent trapping (distance between sites in a pair averaged 2 km).

143 To evaluate if the pre-defined disturbed and undisturbed sites differed significantly in terms of
144 biological diversity of plants, all woody plants with a diameter at breast height above 1 cm in 10 Gentry
145 type transects of 50x2 m (Gentry 1982) were taxonomically identified and Shannon's diversity index (H')
146 was obtained for each site. The availability of fleshy fruits was also estimated for pre-determined
147 disturbed and undisturbed sites based on the taxonomical identification of plants on sampling transects
148 (De León-Ibarra 2005).

149

150 Trapping and sampling

151 Rodent trapping was performed using Sherman traps baited with oatmeal and peanut butter, in 100 x
152 100 m trapping grids (100 traps per grid, spaced every 10 m) at 10 sites across the eastern part of the
153 HMR from 2002 to 2011; rodent density values (individuals/ha) were obtained from sampling sessions
154 for all sites based on the Minimum Number Known Alive (MNKA; Krebs, 1966), while rodent biomass
155 (g/ha) was derived from the same procedure. A total of 153 individuals of *P. melanophrys* were captured,
156 standard body measures were taken, and a tissue sample (toe clip) was obtained following ethical
157 guidelines (Sikes and Gannon 2011) (see Tables 1 and 2 for sample sizes, and Appendix S1 for density and
158 biomass values; values presented are averaged across sampling sessions for each period or site).

159

160 Genotyping-by-Sequencing (GBS) and SNP genotyping

161 DNA extraction was performed using the DNeasy Blood and Tissue DNA extraction kit (Qiagen) and all
162 samples were electrophoresed in agarose gels to assess DNA quality. Double stranded DNA was

163 quantified with a Qubit 2.0 fluorometer (Qiagen). Extracted DNA was sent to the Cornell Institute for
164 Genomic Diversity to conduct Genotyping-by-Sequencing (GBS) (Elshire et al. 2011). GBS is a simple
165 technique for constructing reduced representation genomic libraries for the Illumina sequencing
166 platform. DNA from each individual was separately digested using the restriction enzyme PstI
167 (recognition site: CTGCAG, overhang: TGCA-3'; New England Biolabs). Given the nature of the DNA
168 samples, and to obtain sufficient coverage (5X minimum), three genomic libraries were sequenced and
169 results per sample were pooled. SNP calling resulted in 103,286 potential SNPs. After filtering potential
170 SNPs using the conservative approach applied in White et al. (2013), we obtained 8,209 loci which could
171 be confidently called in at least 90% of individuals (see Appendix S1 for further details).

172

173 Genomic diversity and structure

174 For the spatial analysis, the total sample was grouped into: 1) 'Sites' according to the locality where
175 samples were taken from (namely Axu-U, Axu-D, Qui-U, Qui-D, Xan-U, Xan-D, Lim-1, Lim-2, Sal-1 and
176 Teo-1), and 2) 'Habitat Types' by pooling samples from the undisturbed and disturbed sites across the
177 HMR, respectively. Sample sizes are shown in Online Resource Table S1. For the temporal analysis (2002-
178 2006), the sample from the centre of the Sierra de Huautla Biosphere Reserve was grouped into: 1) 'One-
179 Year Cycles by Habitat Type' covering a full breeding cycle of *P. melanophrys* by clustering a wet season
180 (from July to October) and a dry season (from November to June the following year) resulting in four
181 cycles for the disturbed and the undisturbed habitat types, respectively (namely T1-U, T1-D, T2-U, T2-D,
182 T3-U, T3-D, T4-U and T4-D), and 2) 'Wet and Dry Seasons' analysing wet and dry seasons separately (wet
183 seasons from July to October and dry seasons from November to June the following year, regardless of
184 habitat type; analysed only for 2002-2006 to provide adequate sample size per cycle and season).
185 Samples sizes are shown in Online Resource Table S2.

186 Standard intra-population level diversity indices were calculated, including Nei's (1987) gene
187 diversity that takes into account sample size, and the population mutation rate parameter theta, using
188 Arlequin v.3.5 (Excoffier et al. 2005). Measures of allelic and private allelic richness (ranging between 1
189 and 2 for bi-allelic SNPs) were calculated using HP-RARE v.1.0 (Kalinowski 2005), which uses rarefaction
190 to correct for sampling error to produce unbiased estimates. Locus-specific diversity indices were divided
191 into the groups mentioned above for the spatial and temporal analyses, and statistical comparisons were
192 made with JMP v.10.0 (SAS Institute Inc.).

193 The genetic structure within the HMR was tested by an analysis of molecular variance (AMOVA).
194 Average inbreeding coefficients F_{IS} (Slatkin 1991) and pairwise Slatkin's linearized F_{ST} values (Slatkin
195 1995) between sites were estimated; significance was tested with 20,000 permutations of gene copies
196 between individuals within populations and 20,000 permutations of individual genotypes among
197 sampling sites to construct a null distribution, respectively. We tested for a pattern of isolation-by-
198 distance across the HMR with a Mantel test by estimating the significance of the correlation between
199 pairwise (Ln-transformed) geographic distances and Slatkin's linearized F_{ST} values between sites. All
200 analyses were performed with Arlequin.

201 The minimum number of populations that best explained the data was evaluated using Structure
202 v.2.3.4 (Pritchard et al. 2000) and Discriminant Analysis of Principal Components (DAPC) (Jombart et al.
203 2010), two programs that use different methodologies to infer population structure. Structure uses a
204 Bayesian model-based clustering method for inferring population structure using genotype data and
205 assigning individuals to populations. Based on preliminary runs showing convergence, we selected the
206 following parameters: admixture model (allowing for mixed ancestry), usepopinfo=0, $K=1-10$ clusters
207 (with 10 replicates per K to check for convergence), locprior=0, burn-in=150,000, numreps=300,000,
208 inferalpha=1, inferlambda=0. The number of K was inferred using Evanno's method (Evanno et al. 2005).
209 Results were collated using Structure-Harvester (Earl and vonHoldt 2012); replicate samples were

210 aligned using CLUMPP v.1.1.2 (Jakobsson and Rosenberg 2007) and graphically displayed using Distruct
211 v.1.1 (Rosenberg 2004). DAPC is a multivariate method for identifying genetic clusters using K -means of
212 principal components when group priors are unknown. The K -means were run sequentially ($K=1-10$) to
213 find the best supported number of clusters based on the lowest Bayesian Information Criterion (BIC).
214 DAPC runs were performed in R (R Development Core Team) using the package *adegenet* (Jombart
215 2008).

216

217 Outlier SNPs and annotation

218 We performed an outlier analysis to detect SNPs putatively under selection with the programs Lositan
219 workbench (Antao et al. 2008) and BayeScan v.2.1 (Foll and Gaggiotti 2008). We used both programs for
220 a more robust identification because they differ in the detection method used. Outlier loci, potentially
221 under selection, were then excluded from the analysis of population genomic diversity and structure,
222 which assumes neutrality of the molecular markers.

223 Lositan is an F_{ST} -detection method based on the FDIST program (Beaumont and Nichols 1996),
224 which evaluates the relationship between Wright's inbreeding coefficient F_{ST} and heterozygosity under
225 an island model of migration. The distribution created is used to identify outlier loci that have excessively
226 high or low F_{ST} values compared to neutral expectations (Antao et al. 2008). Two runs were done with
227 Lositan with the parameters: number of simulations=100, number of populations=10, confidence
228 interval=0.99, False Discovery Rate (FDR)=0.05, dataset mean F_{ST} =0.0253 (F_{ST} =0.0121 for the temporal
229 analysis). The first run using all loci resulted in several candidate outliers, which were then excluded and
230 a new mean F_{ST} was obtained, F_{ST} =0.025 (F_{ST} =0.0104 for the temporal analysis). The second run using all
231 loci was conducted using the new mean F_{ST} , lowering the bias on the estimation of the mean neutral F_{ST}
232 by having removed the most extreme loci from the estimation.

233 BayeScan identifies outlier loci using differences in allele frequencies between populations based
234 on the multinomial-Dirichlet model, and using a Bayesian approach to incorporate uncertainty on allele
235 frequencies due to small sample sizes with low risk of bias. For BayeScan we used the parameters: burn-
236 in=50,000, thinning interval=20, sample size=10,000, number of pilot runs=50, length of pilot runs=5000,
237 total number of iterations=250,000 and number of populations=10.

238 The DNA sequences containing the outlier SNPs were compared with the GenBank database
239 using BlastN v.2.2.28 (Altschul et al. 1997) to annotate them. Parameters were as follows: word_size=11;
240 gapopen=5, gapextend=2; reward=2, penalty=-3. Loci were identified as putatively genic if they had an
241 expect value $e \leq 1 \times 10^{-5}$ in matches to the nucleotide database. Only the first significant hit with the
242 lowest e was recorded. The significant matches were then incorporated into the UniProt Knowledgebase
243 (UniProtKB) and only loci with identified gene ontologies and/or protein names were recorded.

244

245 **Results**

246 Vegetation regime

247 Woody plant H' differed significantly between vegetation regimes ($H'_{undisturbed}=0.913$, $H'_{disturbed}=0.805$;
248 $F_{1,1}=10.44$, $P<0.05$). The availability of fleshy fruits differed significantly between sites, being higher at
249 undisturbed sites ($F_{1,1}=20.7736$, $P<0.05$).

250

251 SNP genotyping

252 From a total of 153 samples of *P. melanophrys*, 135 individuals were genotyped successfully in 8,209 loci
253 with less than 10% missing data. The rest did not amplify well and were discarded from analysis.

254 Excluding the outlier loci (see below), we analysed a total of 8,035 neutral loci. SNP data are available in
255 NCBI Sequence Read Archive (BioProject: PRJNA297572; BioSamples: SAMN04127679- SAMN04127813).

256

257 Spatial analysis

258 The density and biomass of *P. melanophrys* sometimes differed between paired disturbed and
259 undisturbed sites but did not significantly differ between the two categories overall (Fig. 2a,b; Online
260 Resource Table S1). No significant correlations between density and biomass with any genetic diversity
261 index were observed.

262 We found few significant differences in terms of genetic diversity indices among sites in the HMR
263 (Fig. 2, Online Resource Table S1). For the pooled samples the only significant difference was for theta,
264 which showed lower values in disturbed habitats; if the pair of sites Aux-U and Aux-D were removed
265 from the analysis, the result was no longer significant, likely associated with the small sample size of Aux-
266 U ($N=8$). There were significant F_{IS} values in undisturbed and disturbed habitats (Online Resource Table
267 S1). By site, there were no significant differences in heterozygosity, allelic richness and proportion of
268 private alleles between all the central sites, although values were significantly higher than those from
269 the eastern and northern sites (Fig. 2c,e,f). Theta values from the central sites were similar regardless of
270 the vegetation regime, with the exception of Axu-U that had a higher value (Fig. 2d).

271 Pairwise genetic differences between sites were low on average ($F_{ST}=0.0227$), with the highest
272 values between the central with northern and eastern sites (Online Resource Table S3), while genetic
273 differentiation (F_{ST}) among disturbed sites was similar to that than among undisturbed sites (0.0156 and
274 0.0151, respectively). Regarding AMOVA results, 93.93% of the total variation was distributed within
275 individuals, whereas only 1.74% was between sites and 4.33% between individuals within sites. When
276 analysed based on habitat types, AMOVA results were: 0.34% of the total variation between undisturbed
277 and disturbed habitat types, 5.6% between individuals within habitat types and 94.1% within individuals.

278 Genomic differentiation showed a significant pattern of isolation-by-distance within the HMR
279 ($F_{ST}=0.0227$, $R^2=0.471$, $P<0.05$) (Fig. 3). Structure analysis inferred the highest probability at Delta $K=2$,
280 indicating that Quilamula and El Limón were the most differentiated populations (Fig. 4). Similarly, with

281 DAPC $K=1$ and $K=2$ had the lowest BIC values, indicating no population structure based on the SNP
282 dataset and Quilamula was the only differentiated population based on group memberships.

283

284 Temporal analysis

285 *P. melanophrys* density and biomass varied through time in the three-year period analysed ('One-year
286 Cycles by Habitat Type'), but these changes were not significantly different in disturbed and undisturbed
287 habitats. In undisturbed sites density and biomass increased from T1 to T2 and decreased in T3, while in
288 disturbed sites density and biomass decreased during the three one-year cycles (Fig. 5a,b; Online
289 Resource Table S2). No significant correlations between density or biomass and any genetic diversity
290 index were found.

291 Only marginally significant differences were observed in allelic richness through time (Fig. 5e,
292 Online Resource Table S2); however, there was a significant increase in heterozygosity and allelic
293 richness in disturbed habitats at T3 compared with undisturbed habitats (Fig. 5c,e). Also, no differences
294 in theta values were observed except for an increase in T3-U and T3-D compared with the two previous
295 cycles, a higher value at T4-U and again lower values in T4-D (Fig. 5d). The proportion of private alleles
296 was always higher in disturbed than in undisturbed habitats in each cycle; this proportion decreased in
297 T1 to T2 and remained low during T3 and T4 for undisturbed sites, whereas in disturbed sites the
298 proportion oscillated during each cycle (Fig. 5e). Finally, there was a significant difference in the
299 proportion of private alleles in T3 between undisturbed and disturbed sites (Fig. 5f).

300 The AMOVA results showed the same trend, with 94% of genomic variation within individuals.
301 We found significant high F_{IS} values at T1-U, T1-D and T2-U, which was unexpected since T1-U and T1-D
302 have relatively high heterozygosity and allelic richness values (Online Resource Table S2).

303 When analysed by 'Wet and Dry Seasons' density and biomass fluctuated through time from
304 lower values in wet seasons to higher values in dry seasons, but the differences were not significant (Fig.

305 6a,b). Again, no significant correlations between rodent density or biomass and any genetic diversity
306 index were observed. However, significant changes in genetic diversity indices were observed between
307 seasons (Fig. 6c,e,f, Online Resource Table S2), in which values oscillated (increased/decreased) during
308 the different wet and dry seasons. AMOVA results showed 94.1% of genomic variation within individuals,
309 while the dry seasons 2002-2003 and 2003-2004 showed significantly high F_{IS} values (Online Resource
310 Table S2).

311

312 Outlier SNPs and annotation

313 Out of the 8,209 loci, a total of 174 outliers were detected based on unusual F_{ST} values, which were
314 considered as 'putatively under selection'. With Lositan, 104 outlier loci had lower than expected F_{ST}
315 values and were putatively under balancing selection, and 53 outlier loci had higher than expected F_{ST}
316 values, putatively under directional selection. With BayeScan, 28 outlier loci were detected, all of which
317 had higher than expected F_{ST} values, 11 of which were also detected by Lositan.

318 From the 174 outliers, 79 sequences had an $e \leq 1 \times 10^{-5}$ and several loci had significant hits in
319 GenBank. The gene functions of the identified sequences were diverse, some unknown or
320 uncharacterised (Online Resource Table S4). Under balancing selection, the most notable loci were
321 related with intracellular signal transduction, cell chemotaxis, notch signalling pathway (cell signalling),
322 kinase activity, B-cell proliferation, cell differentiation and ATP binding activity. Under directional
323 (positive selection), these were related with post-translational modification of proteins
324 (geranylgeranylation), DNA, RNA and protein binding, humoral immune response and calcium ion
325 transport.

326

327 Discussion

328 We studied the spatial and temporal effects of habitat perturbation and population fluctuations, at fine
329 scales, on the genetic structure and diversity of a small mammal within a Tropical Dry Forest (TDF), using
330 a population genomic approach to generate novel SNP loci. Our study is also relevant in terms of
331 biodiversity conservation: we analysed the neutral genomic diversity and structure in habitats with two
332 different levels of perturbation, characterised outlier loci potentially under selection, and we focused on
333 a non-model organism, *Peromyscus melanophrys*, an important and abundant species of rodent within
334 this endangered vegetation type, closely tied to vegetation dynamics through seed removal and dispersal
335 (Ceballos et al. 2010). Focusing conservation efforts not only on rare species, but also on those more
336 common ones, does ensure the retention of key ecological and functional roles in ecosystems (Gaston
337 2010; Lindenmayer et al. 2011). Our findings provide insights into the demographic and evolutionary
338 processes in a natural rodent population, but they also have broader implications for the conservation
339 and management of biological diversity. The genomics approach and metrics we described here can
340 serve as a basis for the study of other non-model organisms and for evaluating the effects of habitat
341 fragmentation in natural ecosystems. The depletion of common species and drastic declines in
342 abundance, beyond the expected seasonal dynamics, could be the first evidence of negative
343 anthropogenic effects in an ecosystem. Moreover, common and/or abundant species like *P. melanophrys*
344 are easier to monitor than rare and threatened species, an important factor to consider when economic
345 resources for the monitoring and management of biodiversity in nature reserves are extremely limited.

346 Genetic diversity is the most basic component of biological diversity, which determines the
347 potential of populations to adapt to changing environments and the vulnerability of species to extinction
348 (Frankham 2005). However, the genetic diversity of Mexican rodents is mostly unknown, although a few
349 studies have found it can show high levels (Vega et al. 2007; Castañeda-Rico et al. 2011; Vargas et al.
350 2012; Vázquez-Domínguez et al. 2013; Espindola et al. 2014). To the best of our knowledge, our work
351 represents the first population genomic study using high-throughput sequencing in a Mexican endemic

352 rodent, and highlights the importance of developing genomic markers for non-model organisms in
353 conservation studies (Kettle 2014; Shafer et al. 2015).

354

355 Spatial and temporal population genomics

356 We found little spatial population genetic structure for *P. melanophrys* based on SNP data within the
357 Huautla Mountain Range (HMR), somehow surprising given the different vegetation regimes, the hilly
358 landscape, and the marked seasonality that have significant effects on population sizes of other rodents
359 in TDF. Our results contrast with other studies on vertebrates in fragmented and environmentally
360 heterogeneous habitats that show high genetic differentiation, low gene flow and bottleneck signatures
361 across the landscape, e.g. *Habromys simulatus* (Castañeda-Rico et al. 2011), *Akodon azarae* (Cavia et al.
362 2005) and *Reithrodontomys spectabilis* (Espindola et al. 2014). There is an increasing number of studies
363 on mammals showing low genetic structure and maintenance of high genetic diversity in fragmented
364 habitats, and no allelic diversity loss after bottlenecks, including for example the golden-crowned sifakas
365 (*Propithecus tattersalli*) (Quéméré et al. 2010), water voles (*Arvicola terrestris*) (Aars et al. 2006), and the
366 southern pygmy mouse (*Baiomys musculus*), present in the HMR, which also shows high genetic diversity
367 levels in undisturbed and disturbed sites (Vargas et al. 2012). Confounding factors and synergistic
368 interactions affect the detection of the impact of habitat fragmentation, and species with differing life
369 history strategies are differentially affected by habitat fragmentation (Ewers and Didham 2006).

370 Population genetic models predict that a few immigrants each generation could maintain levels
371 of genetic variability (Wright 1943). The comparable levels of genetic diversity and the small values of
372 genetic differentiation of *P. melanophrys* among the central areas of the HMR indicate that those
373 populations are not effectively isolated, suggesting that whether habitats are disturbed or undisturbed
374 had so far little impact on genetic diversity. The small genetic differentiation but significant isolation-by-
375 distance among populations and the differentiation into two clusters of *P. melanophrys*, may reflect

376 relatively uniform selective pressures that only vary at a more regional scale in the HMR. Although we
377 did not test for female or male biased dispersal, the isolation-by-distance pattern may be related to
378 individual behaviour, as shown for other species of *Peromyscus*, where females have on average a
379 smaller home range than males, adult females are more territorial than adult males and juveniles
380 disperse more readily than either adult males or adult females (Stickel 1968; Vázquez-Domínguez et al.
381 1999; MacSwiney et al. 2012).

382 While the effects of the landscape or habitat heterogeneity on genetic diversity have been
383 studied extensively, there are still few temporal studies of genetic variation on wild rodents, and none
384 involving SNPs as far as we are aware. This temporal information is essential in seasonal habitats, as
385 different studies have shown: Gaines et al. (1997) found that, contrary to predictions, there were no
386 significant genetic differences among populations of prairie voles at either high or low density phases,
387 attributed to the fact that probably enough animals survived in the populations during the low-density
388 phases, preserving most of the genetic variation and thus avoiding genetic bottlenecks. However, some
389 heteromyid rodents, which have physiological adaptations associated with seasonality, do show
390 significant genetic diversity changes in concert with population density fluctuations (*e.g. Liomys pictus*;
391 Vázquez-Domínguez et al. 1999, 2002). It appears that genetic diversity of *P. melanophrys* in the HMR is
392 maintained at similar levels through time, likely because population size does not change significantly
393 with seasons as to cause seasonal population bottlenecks. Furthermore, the genetic structure observed
394 in the HMR may remain low because individuals can move unrestricted from different locations and
395 quickly repopulate the TDF, even after a drastic population bottleneck (*e.g. Cavia* et al. 2004).
396 Behavioural and physiological adaptations to seasonal fluctuations and habitat disturbances in *P.*
397 *melanophrys* may also allow it to survive in TDFs, without causing significant changes in genetic diversity
398 through time or population structure (Vázquez-Domínguez et al. 1998, 1999).

399

400 Signals of loci under selection

401 Anthropogenic activities and marked seasonality, such as those present in the HMR, can generate
402 selection pressures and phenotypic plastic responses on natural populations (Palumbi 2001; Hendry et
403 al. 2008). For example, this could be through higher predation levels and increased exposure to UV light
404 due to reduced vegetation cover, release of agrochemical contaminants that have adverse effects across
405 different trophic levels, changes in food and lower water availability, among others.

406 Based on our results, we did not find evidence to suggest that the type of habitat disturbance
407 and seasonal environmental fluctuations in the HMR have affected the population genomic structure of
408 *P. melanophrys*. This suggests that *P. melanophrys* is an environmentally tolerant species, in contrast
409 with other generalist species inhabiting TDF that show genetic changes directly associated with
410 seasonality and habitat heterogeneity, e.g. *L. pictus* (Vázquez-Domínguez et al. 1998, 1999, 2002;
411 Garrido-Garduño et al. 2015) and *B. musculus* (Vargas et al. 2012). F_{ST} outlier methods have a high rate of
412 false positives (Bierne et al. 2013; Fourcade et al. 2013), but here we used a conservative approach and
413 consider all outliers as candidate loci under selection, requiring subsequent detailed investigation. A
414 gene expression study based on the genes detected under putative selection would be especially
415 informative about the physiological adaptations to environmental stress tolerance. Other factors could
416 also affect the genetic traits of this species, for instance, heavy metal contamination from mining
417 activities, a very localized (for now) human activity in the HMR, for which there is already evidence of
418 impact (Mussali-Galante et al. 2013). Using a similar genomic approach may uncover other putative loci
419 under selection in *P. melanophrys* due to heavy metal contamination.

420

421 Conservation implications

422 Our results provide insights into the demographic and evolutionary processes in a natural population of
423 rodent, showing low genetic differences through space and time despite previous ecological studies

424 indicating potential differences between disturbed and undisturbed sites in the HMR (Cadena, 2003; D.
425 Valenzuela-Galván, unpublished data). In accordance, we can propose that *P. melanophrys* in the HMR
426 be considered and managed as a single population, with high levels of genetic diversity, low genetic
427 differentiation and with a significant isolation-by-distance pattern evident among the most distant sites.
428 Our results are encouraging in the sense that anthropological activities in the HMR have not yet
429 impacted negatively the genetic diversity and structure of *P. melanophrys*, supporting the notion that
430 the conservation efforts and the management activities of the SHBR are adequate at least for this
431 species.

432 For the HMR in particular, we would expect to find similar genetic patterns in other relatively
433 generalist species of small mammals inhabiting the reserve, like *L. irroratus*, *Oligoryzomys fulvescens*,
434 *Oryzomys couesi*, *P. levipes*, *Perognathus flavus*, *R. megalotis*, *Sciurus aureogaster* and *Sigmodon*
435 *hispidus*. Indeed, comparable results have been found in *B. musculus* using other genetic markers
436 (Vargas et al. 2012). Mammals with more specialised habitats and/or diets would be expected to suffer
437 more from habitat loss and fragmentation, for example the Mexican endemic marsupial *Tlacuatzin*
438 *canescens* that has semi-arboreal habits, *Cryptotis mexicana* with a preference for primary forest and
439 damp, grassy areas bordering streams or orchards, *Lepus callotis* with a preference for grassy areas and
440 sensitive to overgrazing and other human-induced disturbances, *Hodomys alleni* with a preference for
441 lower hill slopes and rocky hillsides, and *R. fulvescens*, a small rodent with a preference for grassy fields
442 intermixed with shrubs; however, because these species are either rare or less common than *P.*
443 *melanophrys* it would be difficult to monitor population density and analyse their genetic diversity and
444 structure effectively. The population genetic metrics we described may be used as a reference for future
445 studies on the effects of habitat fragmentation and seasonality in TDF and other natural systems. It is
446 important to highlight that as more genomic studies with non-model organisms are performed the

447 better we would be able to explain ecological and evolutionary patterns (Allendorf et al. 2010; Kettle
448 2014; Shafer et al 2015).

449 The purpose of biosphere reserves is to promote sustainable development through research and
450 monitoring ecosystems while safeguarding biological diversity (Batisse 2003). Therefore, the research
451 approach followed here and our results are valuable for conservation in TDFs, both in terms of genetic
452 monitoring of a natural population (Schwartz et al. 2007) and for establishing baseline information useful
453 for taking scientifically informed decisions about management practices in the HMR.

454

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463

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471

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641

642 **Electronic supplementary material** The online version of this article (doi: XXXX/XXXX) contains
643 supplementary material, which is available to authorized users.

644
645 **Figure S1.** Annual and monthly precipitation and temperature mean values between 1972 and 2008 in
646 the Huautla Mountain Range. Values obtained from the meteorological station in Huautla, Mexico
647 (Latitude: 18° 26' 35'', Longitude: 99° 00' 59'', Altitude: 968.14 m). The shaded areas correspond to the
648 sampling period used for the temporal analysis of genetic diversity and structure (2002-2006).

649 **Appendix S1.** Extended Materials and Methods: Tropical Dry Forest (TDF) vegetation in the Huautla
650 Mountain Range (HMR), Disturbed and undisturbed sites classification, and Genotyping-by-Sequencing
651 (GBS): SNP genotyping and calling

652 **Table S1.** Spatial values of genomic diversity, density and biomass.

653 **Table S2.** Temporal values of genomic diversity, density and biomass.

654 **Table S2.** Pairwise genetic and geographic distances.

655 **Table S4.** BlastN and UniProtKB results for outlier loci.

656

657

658

659 **Figure Legends**

660

661 **Fig. 1** a) Geographic distribution of *Peromyscus melanophrys* in Mexico (grey shaded area); b) location of
662 the Huautla Mountain Range (HMR; dark shaded area) and of the Sierra de Huautla Biosphere Reserve
663 (SHBR; state of Morelos, Mexico; grey shaded area); c) sampling localities in the eastern portion of the
664 HMR as follows: 1 = Qui-U, 2 = Qui-D, 3 = Axu-D, 4 = Axu-U, 5 = Xan-D, 6 = Xan-U, 7 = Lim-1, 8 = Lim-2, 9 =
665 Sal-1, 10 = Teo-1, where Axu = Axuchitlán, Qui = Quilamula, Xan = Xantiopan, Lim = El Limón, Sal = El
666 Salado, Teo = Teotlaco; U and D mean undisturbed and disturbed habitats, respectively

667

668 **Fig. 2** Population-level metrics and spatial genomic diversity values for *Peromyscus melanophrys* in the
669 Huautla Mountain Range, Mexico. (A) density, (B) biomass, (C) expected heterozygosity (H_E), (D)
670 population mutation rate parameter (theta), (E) allelic richness and (F) proportion of private alleles.
671 Density and biomass not recorded for two sites from the northeast, El Limón-1 (Lim-1) and El Limón-2
672 (Lim-2), and from two sites in the southeast, El Salado (Sal-1) and Teotlaco (Teo-1). Error bars represent
673 95% confidence intervals. Significance levels among groups indicated with letters A to E. NS = not
674 significant, * = significant (among habitat types)

675

676 **Fig. 3** Isolation-by-distance showing the relationship between pairwise (Ln-transformed) geographic
677 distances and genetic differentiation values (Slatkin's linearized F_{ST}) between sites for *Peromyscus*
678 *melanophrys* in the Huautla Mountain Range

679

680 **Fig. 4** Bayesian analysis of *Peromyscus melanophrys* in the Huautla Mountain Range based on Single
681 Nucleotide Polymorphisms (Structure plot). Each vertical line represents an individual partitioned into

682 one or two colour segments, indicating the individual membership for the inferred number of
683 populations ($K = 2$). The black lines separate the sampling sites that are indicated under the plot
684

685 **Fig. 5** Temporal population-level metrics and genomic diversity indices for 'One-year Cycles by Habitat
686 Type' for *Peromyscus melanophrys* in the Huautla Mountain Range, Mexico. (A) density, (B) biomass, (C)
687 heterozygosity (H_E), (D) population mutation rate parameter (theta), (E) allelic richness, and (F)
688 proportion of private alleles. Density and biomass not recorded for T4. Black and dotted lines represent
689 undisturbed and disturbed sites, respectively. Error bars represent 95% confidence intervals. Significance
690 levels among groups indicated with letters A to D. NS = not significant

691
692 **Fig. 6** Temporal population-level metrics and genomic diversity indices for 'Wet and Dry Seasons' for
693 *Peromyscus melanophrys* in the Huautla Mountain Range, Mexico. (A) density, (B) biomass, (C)
694 heterozygosity (H_E), (D) population mutation rate parameter (theta), (E) allelic richness, and (F)
695 proportion of private alleles. Density and biomass not recorded for Wet-5 and Dry-05/06. Error bars are
696 95% confidence intervals. Significance levels among groups indicated with letters A to E. NS = not
697 significant

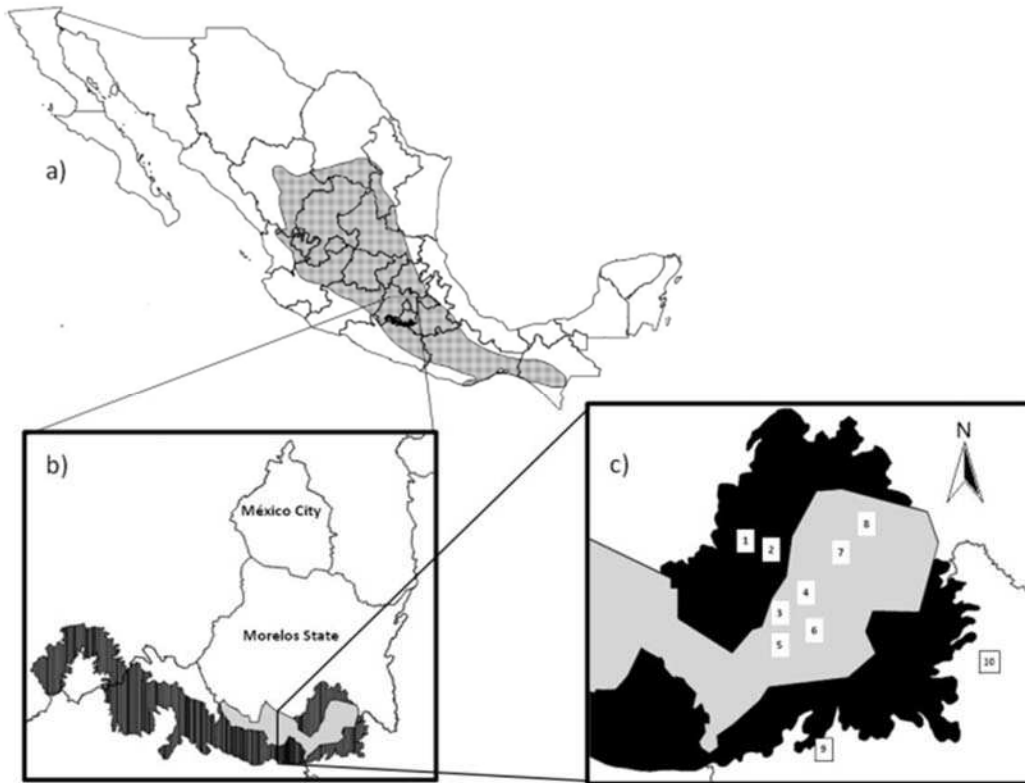
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699 **Figures**

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701 **Figure 1:**

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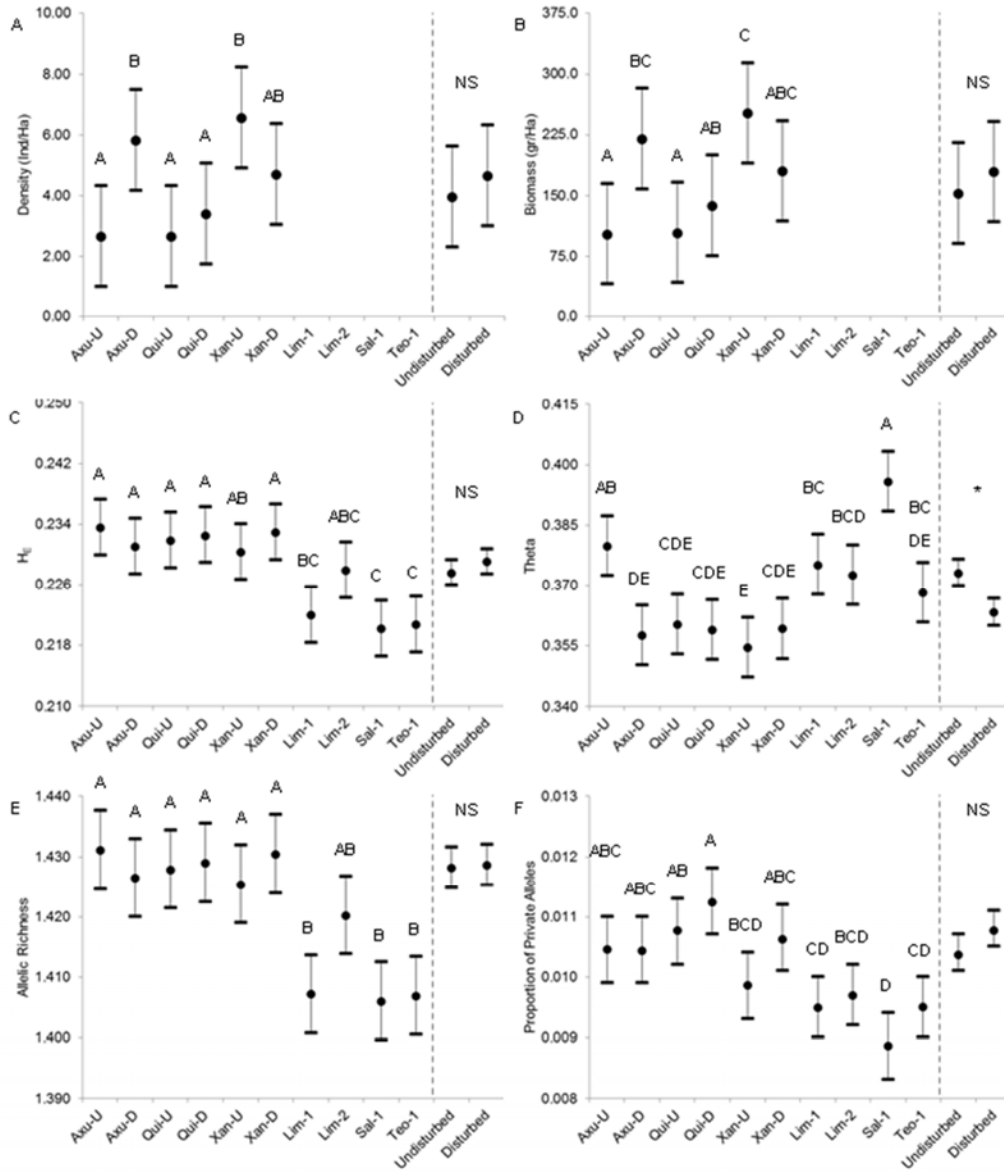


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705 Figure 2:

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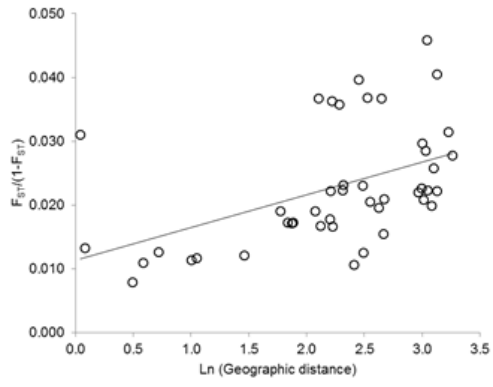
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710 Figure 3:

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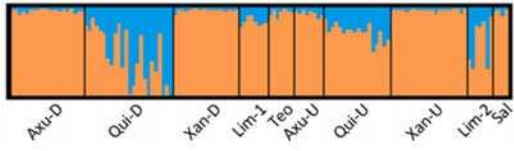
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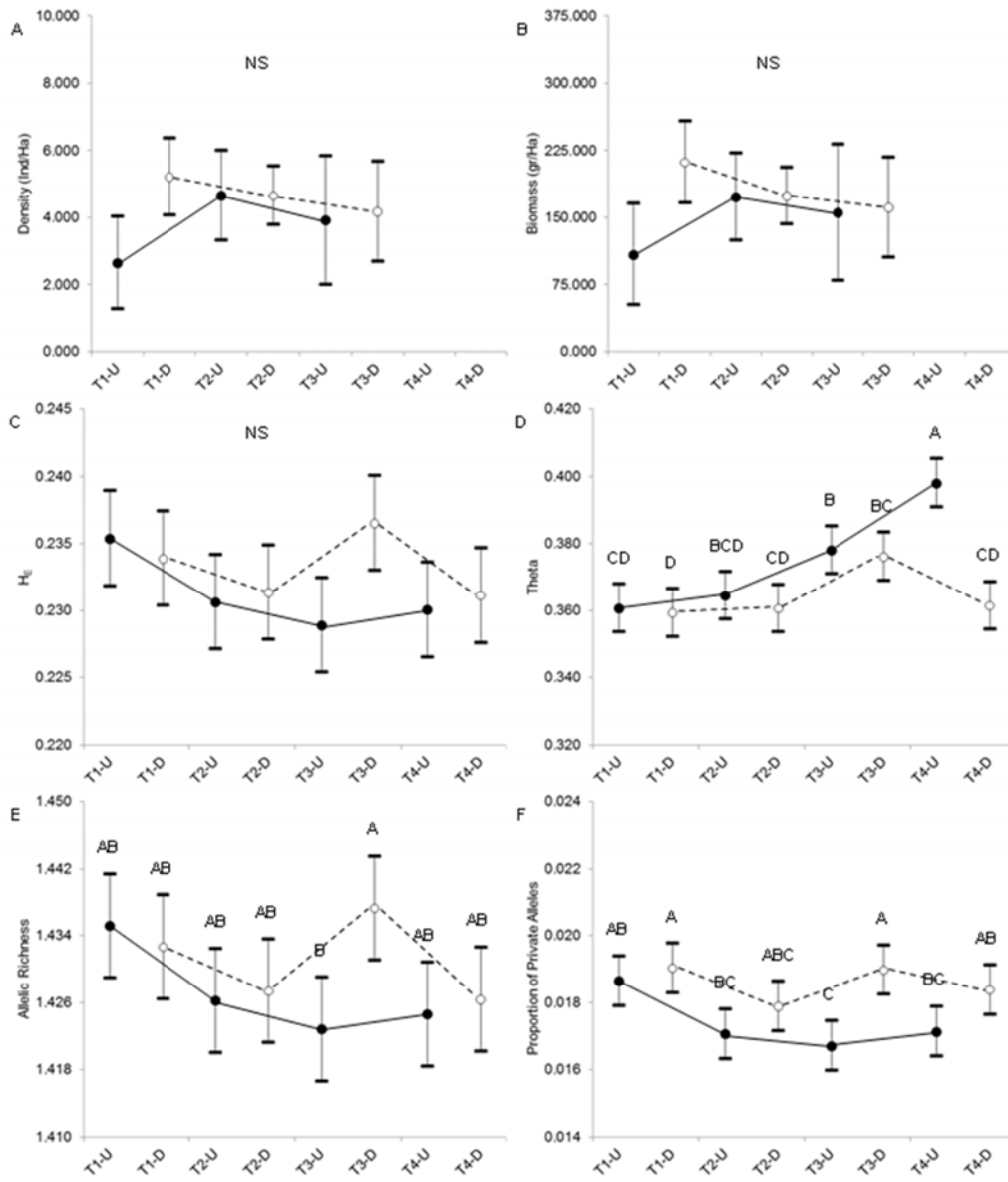
715 Figure 4:

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719 Figure 5:

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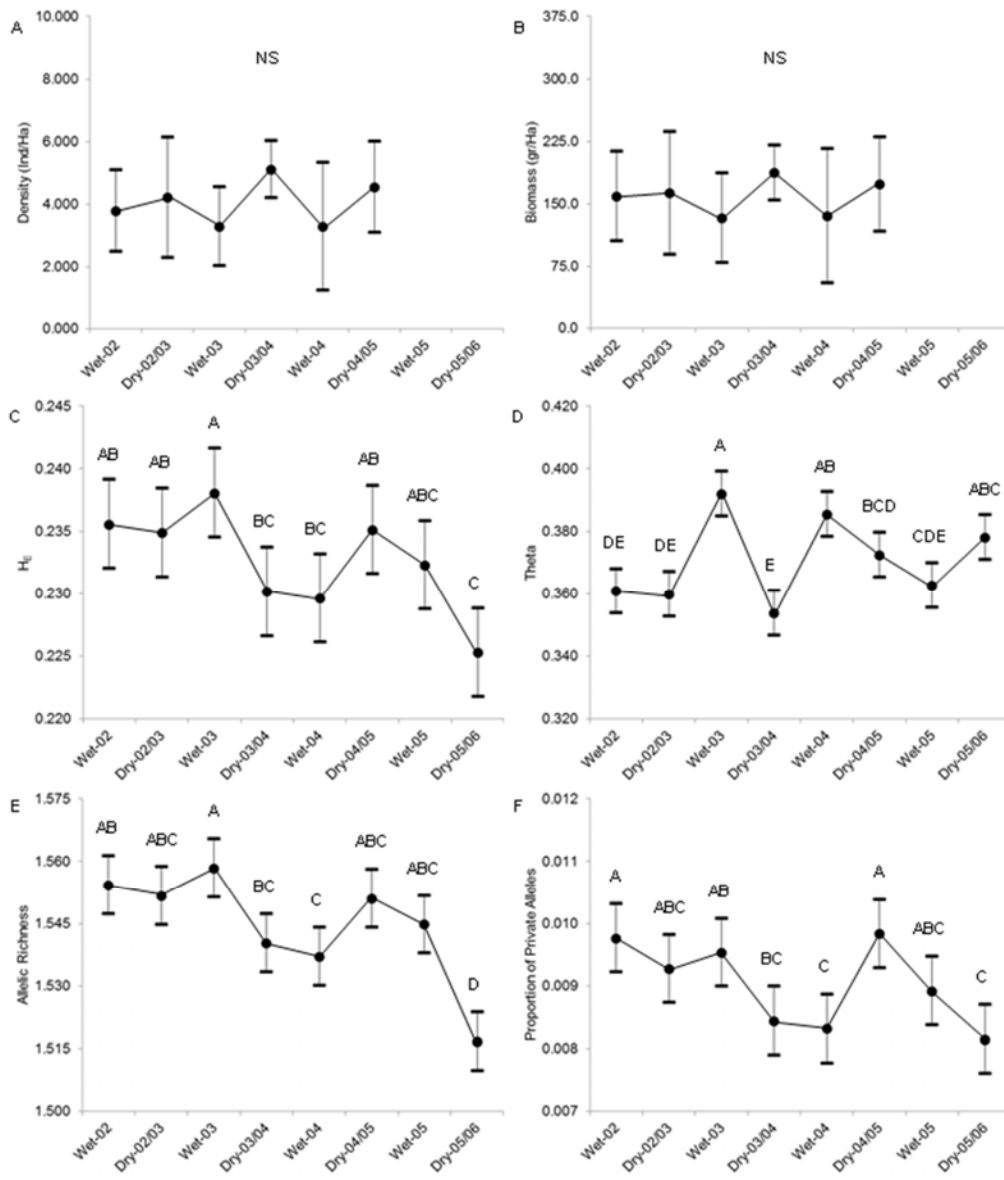
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724 Figure 6:

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