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Performance evaluation of a small scale digester for achieving decentralised

Gonzalez, R., Hernandez, E., Gomez, X., Smith, R., Gonzales Arias, J., Elias Martínez, J. and Blanco, D. Decentralised digestion operation was evaluated considering organic removal and energy efficiency

Small scale digestion units demonstrated technical suitability for biogas valorisation

Electrical self-efficiency coefficient was 0.95 for a yield of 360 L CH4/kg VS and 93% of VS removal

The auxiliary storage system offered high flexibility to the small-scale plant increasing process stability.

Reactor thermal needs highly compromised the efficiency when operating at low organic loads

## **1** Essential title page information

- 2 Title: Performance evaluation of a small-scale digester for achieving decentralised
- 3 management of waste

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46	Title: Performance evaluation of a small-scale digester for achieving decentralised
47	management of waste
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59	Abstract
60	The performance of a small-scale prototype digestion plant (7.2 m <sup>3</sup> working volume)
61	intended for decentralised operation was evaluated considering energy efficiency and
62	technical suitability for biogas valorisation in producing electrical and thermal energy. The
63	digester operated in recirculation mode to enhance organic matter conversion and improve
64	volatile solid degradation. An energy assessment of the process assumed the incorporation of
65	a combined heat and power (CHP) unit. The coefficient of overall performance of the plant
66	for electrical energy (COPel) was 0.95 — this values was estimated at an electrical efficiency

67	of 22.5% and represents the ratio between energy production and consumption — for a
68	methane yield of 360 L/kg VS and an organic loading rate (OLR) of 1.06 g VS/L d. This
69	parameter was slightly lower than the unit thus indicating that the micro-plant was close to
70	attaining self-sufficiency regarding electrical energy use. The temperature increase of the
71	feed to process conditions supposed a significant amount of thermal energy which highly
72	compromised the efficiency when operating at low organic load, thus accounting for more
73	than 80% of the total energy demand of the installation. When the energy assessment of the
74	process was performed at higher OLR of 2.7 g VS/ L d, the resulting $COP_{el}$ value was1.68,
75	demonstrating the feasibility of this configuration for decentralised digestion.

77	Keywords: micro-plant energy performance, food waste digestion, decentralised waste
78	treatment, prototype evaluation, anaerobic digestion

# 79 Nomenclature

- 80 CHP: combined heat and power
- 81 COP<sub>th</sub>: Coefficient of overall performance, thermal energy
- 82 COP<sub>el</sub>: Coefficient of overall performance, electrical energy
- 83 EG<sub>elec</sub>: Electrical energy generation
- 84 EG<sub>thermal</sub>: Thermal energy generation
- 85 HRT: Hydraulic retention time
- 86 OLR: organic loading rate
- 87 PA: Partial alkalinity
- 88 Q<sub>Biogas</sub>: Energy contained in biogas
- 89 Q<sub>C.el</sub>: Energy needed for electrical purposes
- 90 Q<sub>C.th</sub>: Energy needed for thermal purposes

91 Q<sub>Feed</sub>: Heat needed for increasing the temperature of the feed to process conditions

- 92 Q<sub>Losses</sub>: Heat loss through reactor walls and piping
- 93 Q<sub>Man</sub>: Maintenance heat
- 94 Q<sub>Proc</sub>: Process heat
- 95 Q<sub>Total</sub>: Total energy demand of the plant
- 96 TA: Total alkalinity
- 97 VFA: Volatile fatty acid
- 98

100

# 99 1. Introduction

101 or the treatment of a relatively small amount of wastes produced seasonally. This

102 management alternative may also be appropriate when the distance to a large-scale

103 centralised plant is less attractive on the grounds of sustainability due to transport impacts.

Decentralised anaerobic digestion is a promising alternative for low population density areas

104 Anaerobic digestion is an efficient technology for treating organic substrates capable of

increasing the contribution of renewables to the overall energy production matrix (González

et al., 2020). The lack of aeration provides a great advantage due to the decrease in the

107 implicit cost associated with energy demand. However, depending on scale, anaerobic

108 digestion may be favoured for centralised treatment; whereas, composting may be preferred

in the case of small on-farm for treating animal manure (Lin et al., 2019)

Another parameter of particular significance is the transport of residues. In reality, there are limits based on reasonableness to the distance from where organic wastes are collected and subsequently treated at a centralised plant. Low population density areas impose challenges to the extrapolation of conventional technologies due to the smaller scale of the treatment units, the correspondingly higher operating costs and the practical and sustainability logistics

of waste transportation. A study performed by Piñas et al. (2019) for a Brazilian scenario

showed that biogas plants using mono-substrates such as cattle manure presented economic viability for electrical power higher than 740 kWe whereas a co-digestion system presented economic viability for electrical power above 1000 kWe. This scale is unsuitable for many applications in rural areas due to seasonal production and the significantly lower amount of available waste.

121

122 The development of small-scale digestion plants for treating waste in rural regions is crucial. 123 Decentralised biogas production from manure and crop residues is not currently economical 124 or reliable because gas production usually has a low energy potential. Codigestion systems are not economically viable because of the costs associated with silages and transport of 125 biomass material (Piñas et al., 2019). These factors have delayed development in the 126 implementation of this type of technology. Transportation of feedstock must not exceed 20 -127 30 km (two-way), since increasing this distance negatively affects the economics (Rajendran 128 and Murthy, 2019) thus justifying the need for decentralised units. However, the high initial 129 130 investment of these plants act as the main disincentive requiring support from fiscal subsidies (Win et al., 2017). The design of small biogas reactors also raises fundamental issues 131 132 concerning the energy demand associated with pretreatment and achieving good mixing in 133 the reactor in order to optimise gas yields when feeding combinations of dry and wet food wastes, or domestic and agricultural wastes (Radu et al., 2016). 134

135

Small-scale digestion plants have recently attracted considerable interest to shift towards a
more decentralised biowaste management strategy. This approach offers advantages
compared to the conventional centralised waste treatment associated with reduced transport
requirements and the potential benefit of increased community involvement. The efficiency
of the decentralised approach relies on a close integration of the whole treatment supply

chain, from the generation of biowastes to the valorisation of biogas and digestate (Thiriet etal., 2020).

143

Production activities and small communities must meet certain requirements if the 144 145 decentralised approach for waste treatment is to become feasible. The first requirement is that these activities must generate organic waste streams of a high organic content that is readily 146 147 biodegradable and with high methane potential. The second requirement is that they must be 148 associated with local electrical and thermal energy demands. These characteristics define a 149 niche of activities that mainly focuses on agri-food industries (agri-food farms, food products industries, livestock farms), sewage treatment plants and food waste managers. Decentralised 150 anaerobic digestion facilities could produce operational benefits such as, greater ease in the 151 management of wastes, the possibility of having energy autonomously, as well as economic 152 benefits linked to thermal energy generation and production of organic fertilisers and 153 amendments (Anyaoku and Baroutian, 2018). Other benefits are the ability to handle and 154 155 treat wastes using the proximity principle basis, as close to point of origin as possible, which can drastically reduce emissions and impacts associated with transport. In addition, there 156 157 would be another series of short-term improvements such as the increase in the generation of 158 distributed energy, which in turn would contribute to the stability of the electrical system and reduce the costs of transporting energy and potential pollution from large centres of 159 160 generation.

161

The reduction of costs associated with logistics when treating wastes would also be part of the short-term improvement as well as the optimisation of municipal waste treatment (Wang et al., 2014). It is for these previous reasons that the possibility of using "flexible anaerobic digestion micro-plants" are promising at this moment. Here, these plants are defined as

166	"micro" for their small treatment capacity, being limited to amounts of $< 1,000$ t/year ( $<15$
167	kWel) (NNFCC, 2017). The term "flexible" refers to a type of facility, despite its small size,
168	that is provided with a process control system with similar benefits to those of large
169	centralised anaerobic digestion plants, being also capable to adapt to any particular need
170	regarding the type of organic material, nutrient content and seasonal production of waste.
171	These characteristics allow this type of decentralised plant to present an operational
172	improvement over traditional centralised installations. Decentralised treatment units are
173	being considered a more sustainable solution because energy requirements are much lower
174	and sophisticated operation is avoided thus being easy to adapt to different geographic
175	contexts (Lourenço and Nunes, 2020).
176	
177	This research evaluates the suitability of a micro-digestion plant for the decentralised
178	treatment of food wastes in a real environment. The novelty of this prototype is based on its
179	capacity for valorising wastes near the source, reducing transport needs and activating the
180	local economy. Developing a new solid waste management strategy based on small
181	decentralised units offers new opportunities for implementing this model into developing
182	countries and communities with a disperse population. These small treatment plants can
183	promote community participation and avoid an undesirable accumulation of organic materials
184	prone to degrade uncontrollably. Decentralised management of wastes offers several
185	advantages but there is a lack of studies reporting on the electric and thermal performance of
186	small-scale prototypes.
187	
188	In the present study, the first objective was to evaluate the digestion process using a micro-

189 plant of flexible configuration fed with substrates comparable to those composing the organic

190 fraction derived from catering services. The second objective was to evaluate the energy

efficiency of the micro-plant establishing an energy balance to assess thermal and electric
performance. This manuscript, thus reports data for evaluating performance of the digestion
process considering not only biological yields but also energy demands associated with the
operation of small scale units.

195

#### 196 **2. Materials and methods**

197 2.1. Inoculum and substrates

198 Food wastes used as substrates were obtained from a hostelry school for the training of 199 cuisine professionals. The school was dedicated to the teaching of Italian, French, Mexican and Spanish cooks. Undesirable materials like packaging, containers, bones, cutlery and other 200 non-degradable components were manually screened out to obtain a food waste fraction easy 201 to handle for grinding machines and free of plastics and any other kind of inert components 202 203 that would exert a detrimental effect on the quality of the digestate. Food waste was daily transported using 50 L closed steel vessels from the school to the Algodor plant (located in 204 205 Toledo, Spain) where the prototype was installed. This plant is specialised in the biological treatment of organic wastes from a diversity of sources, including fruit and vegetable wastes 206 207 from the fourth-range industry, food waste from the hostelry sector and those from the 208 maintenance of green areas. Currently these materials are transformed by static pile composting. The digestion prototype was installed in this treatment centre, with the aim of 209 evaluating the suitability of energy production from wastes received daily. 210

211

The waste was subjected to an initial triage to remove contaminants and record daily quantity of waste received. Periodic sampling was carried out for characterisation of in-coming material for quality control and quality assurance purposes. The weighing of food wastes was performed using an industrial floor scale balance with a precision of 0.5 kg (Steinberg

216	Systems SBS-BW-1T). After weighing, the waste was fed into the pretreatment unit for
217	grinding and then onwards to the reactor (Fig. 1a). The chemical and physical
218	characterisation of food wastes is summarised in Table 1.
219	
220	Table 1 here
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222	Inoculum used to seed the micro-plant anaerobic digester was a digestate obtained from a co-
223	digestion plant treating a mixture of municipal solid wastes and wastes derived from a meat
224	processing factory. The industrial digester was located at the solid waste treatment centre of
225	South Madrid, Pinto. The total solid content of the inoculum was $41.0 \pm 1.9$ g/L with a
226	volatile solid content of $18.4 \pm 0.7$ g/L. Once loaded into the digester, the inoculum was
227	heated at $37 \pm 1$ °C for 20 days without any additional material being fed to allow the
228	removal of the "background" biogas production and to achieve maximum degradation of
229	available organic materials before commencing with pilot plant operations.
230	
231	Figure 1 here
232	
233	2.1. Micro-plant description and operation
234	The operational units comprising the pilot plant are presented in Fig. 1b showing the main
235	equipment and auxiliary components. A detailed description of the plant and controller
236	actions are given in the electronic supplementary material (ESM). The digestion unit consists
237	of a tank reactor, the operation of which depends exclusively on the multipurpose pump. The
238	digester has a total volume of 8776 L with a working volume of 7200 L. Mixing is performed
239	by recirculation of the digestate using a multipurpose pump. The digester operated under

240 mesophilic conditions at 37 ± 1 °C. The average organic loading rate was 0.68 kg VS/m<sup>3</sup> d.
241 The prototype was kept in operation for 106 days.

Actual biogas production was compared with theoretical predictions from a simplified

version of Simons and Buswell equation (Møller et al., 2004):

244 
$$B_{u}\left(\frac{L CH_{4}}{kg VS}\right) = \frac{\frac{n}{2} + \frac{a}{8} + \frac{b}{4}}{12n + a + 16b} * 22.4$$

245

Methane production was estimated by assuming that all organic material was converted into biogas (methane and carbon dioxide) along with water. The use of carbon for microbial growth and maintenance needs were not considered. This expression establishes as main elements of organic matter: carbon, hydrogen and oxygen using the empirical formula  $C_nH_aO_b$ . The ultimate methane production (Bu) was calculated based on the stoichiometric Buswell equation and using the gas ideal factor for estimating the volume of a gaseous substance (1 mol) at STP conditions.

253

Due to instabilities intrinsic to the plant operation, both the frequency and the feeding rate were variable throughout the trial to adapt to substrate availability. The period selected to assess plant performance was from day 55 to 90 included (35-day continuous period) since this period showed process stability in terms of feeding rate and methane production.

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The waste received daily was incorporated into the process through the pretreatment unit. For this, the waste material was poured into the feeding hopper for grinding and then into the pretreatment unit. In this tank, the organic material was mixed with digestate from the digestate storage tank to dilute the mixture, accelerate hydrolysis and further reduce the particle size thus facilitating their introduction into the main digester. A dilution ratio was established to attain a volumetric proportion of 200 L food waste/m<sup>3</sup>. The feeding procedure involved manual 265 registration of parameters that are not automatically recorded by the control unit, as it is: the 266 amount of ground waste, the levels of the pretreatment unit and digestate storage tank along with readings of energy consumption of electric devices. During the digestion test, samples of 267 the feed and digestate were regularly collected for characterisation at a frequency of once or 268 269 twice each week. Difficulties associated with the operation of the prototype led to an irregular 270 feeding of the reactor. The start-up of the plant was carried out at low organic loadings and was 271 denoted phase I. Phase II corresponds to an increase in the organic loading which was based 272 on the performance of digestion. Phase III was the last stage and corresponds to a period where 273 feeding to the reactor was not available due to technical problems at the Algodor plant. The experimental period was established based on the time indicated by project activities to test 274 and evaluate energetic performance of the prototype for obtaining a commercial and flexible 275 unit capable of treating a great variety of wastes at small scale. For this reason, the prototype 276 277 was not tested for a longer period using this type of feeding.

278

279 The prototype control unit has four operating states (Operation, Heating, Recirculation and Feeding) to carry out the digestion process. Based on the values of the process variables and 280 281 the operating instructions, the control unit was responsible for activating the corresponding 282 operating status, so that the process was carried out following the operating instructions. There was another operating state (Grinding) that is outside the control capacity of the 283 control unit, since this was done manually, activities related to this later state took place 284 285 simultaneously to any of the other operating states. The recirculation frequency of the mixing pump was 10 min every 6 hours, which for the installed device having a volumetric flow of 286 14.82 m<sup>3</sup>/h, represents a turnover time of 17 h. The operational turnover time was affected by 287 the heating needs, which are met by turning on the multipurpose pump and the heat 288

exchanger, thus reducing this parameter. The heating system was capable of maintaining the
temperature at the set value with a dead band of 1 °C.

291

292 2.3. Chemical analyses and data recording

293 Total alkalinity (TA), partial alkalinity (PA), pH, total and volatile solids (TS and VS) and ammonia nitrogen (measured via selective electron) were quantified following APHA 294 295 standard methods (2005). Free ammonia concentration was measured using the equation 296 reported by Calli et al., (2005). Volatile fatty acids (VFAs) were measured using a gas 297 chromatographer (Varian CP-3800) fitted with a flame ionisation detector coupled to a Nukol capillary column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu$ m, Supelco). The injector and detector 298 299 temperatures were 220 and 250 °C, respectively. The temperature was programmed to start at 150 °C for 3 min and increase to 180 °C at 15 °C/min. The use of Supelco Column for VFA 300 301 measurement gives better results when applying an initial oven temperature of 150 °C to avoid peak overlapping when high acetic and propionic acid concentration are to be expected. 302 303 Helium was the carrier gas, and calibration proceeded using a commercial C2-C7 standard mixture of VFAs (Supelco, Germany) up to a detection limit of 5.0 mg/L. Samples 304 305 preparation proceeded by centrifugation, for 10 min at 3500 g, to separate the supernatant 306 which is filtrated with a 0.45 µm cellulose filter. Methane production was calculated using recorded data of biogas production and composition as detailed in the Micro-plant description 307 section in electronic supplementary material, see Table ESM 1. The calibration of online 308 309 analytical equipment proceeded as recommended by the manufacturer. 310

Mass balance calculations were performed using manual data recording, results from sampling of the feeding material and digester liqueur and data obtained from the automatic register of the control unit. A description of the parameters recorded is provided in

314 supplementary information Table ESM 2. These data along with the different parameters of 315 the process were used for calculating mass balances and biological indicators of reactor performance as it is: daily biogas production (L/d), methane yield (mL CH<sub>4</sub>/ g VS), methane 316 production performance (mL CH<sub>4</sub>/m<sup>3</sup><sub>reactor</sub> g VS). Unlike laboratory tests where feeding 317 conditions are completely controlled, during evaluation of the prototype the operating 318 319 conditions are subject to waste availability, variability in its composition and the degree of 320 dilution at which the pretreatment unit is operating. These conditions directly influence parameters such as hydraulic retention time (HRT), organic loading rate (OLR) and biogas 321 322 production. To facilitate data evaluation and estimate plant performance, process parameters obtained during seven days were averaged. 323

324

325 2.4. Energy analysis

This analysis evaluated the energy demand for each operating state of the micro-plant (Operation, Recirculation, Heating, Feeding and Grinding) and each state of the different actuators (main pump, submersible pump, heating pump, stirrer and control unit). The energy analysis of the pretreatment unit was based on the daily quantities of crushed waste, which was manually recorded. The energy consumed was based on the demand of the grinder and the operating time (having a capacity of 6 kg/min of waste) and the supply of dilution liquid to the feeding unit which was done by gravity from the digestate storage tank.

333

The coefficient of overall process performance was evaluated using the thermal energy

 $produced (COP_{th})$ . This coefficient was calculated as the ratio between the useful thermal

energy produced and the thermal energy consumed. The coefficient of overall performance

337 for electrical energy (COP<sub>el</sub>) was calculated as the ratio between the electrical energy

338 produced and the one consumed, therefore this coefficient represents a self-sufficiency rate.

ESM provides supplementary information on the active time for the different devices (Table ESM 3), which was used to estimate the energy demand of the prototype.

341 The temperature of the digester was maintained by using a heating system involving electric 342 water heaters having two thermostats responsible for keeping the temperature of the water tank at 60 °C. When there was no demand for heating, the activation of the thermostats only takes 343 place for maintaining the temperature at the established set-point, with a certain frequency, fl. 344 On the contrary, during the heating state (when there was demand for heat) activation of the 345 thermostats takes plays at a different frequency, f2, which was higher to meet the heat demand. 346 The determination of the operating frequencies f1 and f2 allows differentiating between the 347 energy consumed during the heating state (process heat (QProc)) and the energy consumed 348 during the remaining operating states (maintenance heat (Q<sub>Man</sub>)). The summation of these two 349 350 quantities accounts for energy needs associated with thermal purposes (Q<sub>C.th</sub>). The electric 351 water heaters had an associated energy meter, IWATION 3680W, which allowed the manual recording of energy consumption over time. This mode of operation implied that Q<sub>Man</sub> had a 352 permanent electricity consumption baseline. The amount of heat necessary for keeping the 353 temperature of the reactor (Q<sub>Proc</sub>) comprised two aspects, one for increasing the temperature of 354 the feed (Q<sub>Feed</sub>) and another regarding the loss of heat through reactor walls and piping (Q<sub>Losses</sub>). 355

## 356 2.5. Analysis of scenarios

This research work deals with the installation and operation of a prototype thus low OLR issues were associated with initial tests due to acclimation of the anaerobic microflora. The present manuscript shows results obtained from the performance of this unit when treating highly degradable wastes, thus acid build-up limited the treatment capacity of this plant. Operation of this plant was continued beyond the present state here reported but data obtained from subsequent experimental stages were not reported in the present manuscript due to commercial decisions regarding companies investing in this prototype. The performance of the micro-plant was evaluated considering two different scenarios A and B. Scenario A is used to measure the energy demand of the installation without taking into account biogas valorisation. The data associated with the total energy demand of the plant ( $Q_{Total}$ ) are classified according to their purpose, that is, the energy for electrical purposes ( $Q_{C.el}$ ), and that for thermal purposes ( $Q_{C.th}$ ).

Scenario B considers the inclusion of a hypothetical micro-cogeneration system. The energy 368 balance is then evaluated assuming the production of thermal and electrical energy. The heat 369 needed for the process was assumed to be provided by a micro combined heat and power (CHP) 370 system Ecowill cogenerator (Roselli et al., 2011; Staffell et al., 2015). This unit has an electrical 371 372 and thermal output of 1 kW and 2.8 kW, respectively with overall energy efficiency of 85% (electrical efficiencies of 22.5% and thermal efficiency of 63.0%). The energy contained in 373 374 biogas is denoted as Q<sub>Biogas</sub>, and that derived from the hypothetical valorisation using the CHP 375 unit was denoted as EG<sub>elec</sub> and EG<sub>thermal</sub>, regarding the electrical and thermal energy produced.

Low OLR directly affects biogas yields, therefore hypothetical performance of this plant was evaluated at a higher value, considering that electric and thermal related parameters were already measured during the first experimental stage and are independent of the OLR applied. The efficiency parameters of the micro-plant were also estimated assuming the application of a theoretical OLR of 2.7 g VS/L d and a content of volatile solids in the reactor of 29 g/L, based on the operating values reported by Banks et al. (2011). The low heating value of methane was 35.7 kJ/m<sup>3</sup> (Demosthenous et al., 2016).

- 383 **3. Results and discussion**
- 384 3.1. Reactor performance

385 The temporal distribution shown in Fig. 2 represents the mass flows of the auxiliary tank. These 386 flows correspond to the ground waste streams, dilution liquid and the flow of feeding substrate to the reactor. Since the flows are represented for the pre-treatment storage tank, the feeding 387 flow in the diagram is represented as a negative value. Therefore, in this diagram incoming 388 materials to the pretreatment unit have positive values, and the feeding volume into the 389 anaerobic reactor withdrawn from the pretreatment tank has negative values. The tank acts as 390 a buffer system for the daily variations of the amount of waste received. The temporal 391 392 distribution of the feeding substrate into the reactor is different from that of the crushed waste. 393 However, in the long term, the accumulated values are obviously equivalent. Difficulties associated with the operation of the prototype and the performance of the digestion process led 394 to irregular feeding of the reactor. Fig. 2 also shows the start-up of the plant where low organic 395 loadings are applied (represented as phase I). The increase in the organic loading was based on 396 397 the performance of digestion and it is represented in the diagram as phase II. Finally phase III corresponds to a period where feeding to the reactor was not available due to technical 398 399 problems at the Algodor plant.

400

During the total period of 106 days of pilot plant operation, the digestate had average TS 401 concentrations of  $3.05 \pm 0.34\%$  with a value of  $48.1 \pm 3.14\%$  VS/TS, accounting for an 402 403 average content of 14.7 g VS/L, which is within the range between 2.9 and 40 g VS/L reported by Banks et al. (2011) and Walker et al. (2017). The pilot plant operated in a stable 404 405 form throughout the evaluation with the values of solid content measured for the digested 406 material (TS and VS) reporting low variability. The pilot plant operated in a stable form throughout the evaluation with values of solid content (TS and VS) reporting low variability 407 for the digested material. 408

Figure 3 shows the mass flow expressed as a mass loading rate for the different streams involved in the operation of the reactor. Fig. 3a shows the average values for the whole evaluation time, whereas Fig. 3b represents the period corresponding to days 55 to 90 inclusive. The operation of the prototype was characterised by a high recirculation rate accounting for an average value of 73.7% for the whole experimental term, whilst this value was slightly higher during the 55 – 90 day period, accounting for about 80% of the total mass flow entering into the reactor.

417 Figure 3 here

418 The mass flow expressed in terms of volatile solids is represented in Fig 3c and 3d for the 419 average values obtained during the whole experimental period and also for days 55 - 90. The contrast between the two figures is clearly observed by the percentage associated with the 420 recycling streams. This stream was characterised by a high value when the total mass flow is 421 422 considered, but it only represents approximately 18% of the VS flow (see Fig 3c). Due to difficulties associated with manual data handling and plant operation, there was a 423 disagreement of about 5% in closing the mass balance and this value was also observed when 424 evaluating the period from days 55 to 90. This disagreement in volatile solid balance was 425 however considered reasonable given the scale of operation and the heterogeneity of food 426 wastes. Other authors report an acceptable mass balance disagreement of up to 9.4% for 427 similar studies (Banks et al., 2011). 428

The removal of volatile solids attained during the digestion process was on average 93.1%,
with these values decreasing slightly when the feeding was regularly available to 91.5%
(days 55 - 90). This high value of solid destruction was associated with the high rate of

recycling digestate back into the reactor, therefore affecting the residence time of
microorganisms in a favourable way and increasing the capability of the reactor for degrading
OLR supplied.

435

The parameters evaluating the performance of the digestion process are reported in Fig. 4, showing the daily biogas production, evolution of solid content in the reactor, pH, ammonia, VFA and alkalinity. Biogas production presented and increasing trend which was explained by the performance of the different digestion parameters. The volume of feeding to the reactor was based on the evolution of acid intermediaries and alkalinity, thus the increasing biogas production is in consonance with varying OLR.

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The content of VS in the reactor was particularly low, being associated with the high degradation attained (Fig. 4b). This value was on average slightly higher than 40% not reaching values greater than 50% after day 30 of operation demonstrating the high stabilisation achieved during the degradation process. Organic loading was restricted based on the trend of the parameters being monitored during digestion. During the total period of 106 days, the pH was on average  $8.10 \pm 0.17$  and remained stable until the end of operation (Fig. 4c). This parameter was not at all useful to establish feeding periods for the digester.

451 Figure 4 here

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Ammonia nitrogen concentrations also remained stable at an average concentration of  $5.10 \pm$ 0.50 g NH<sub>3</sub>-N/L. Although this value is considered high and may even be a cause of inhibition (Cabbai et al., 2016), in the present case, inhibition was avoided by restricting organic loading to the reactor attaining regular production of methane and high removal of

volatile solids. The stable behaviour of pH was explained by the high alkalinity provided by ammonium levels in the digester. In this experimental work, high ammonia concentration was attributed to the inoculum used for the start-up process which was rich in ammoniacal nitrogen as it came from a co-digestion reactor treating a substrate from the meat industry. In addition, food waste had a C/N ratio of  $18.7 \pm 1.98$  so that its nitrogen content did not represent a risk on the deficit of this nutrient.

463

There was a high recycling rate of digestate. During the plant daily operation, about 30% of 464 supernatant was withdrawn from the digestate storage tank. The recycling of digestate was 465 intended for solubilising the food waste and aid in further particle size reduction. 466 Ammoniacal nitrogen was thus minimally depleted from the digestate since the content of 467 feed material plus that from the recycling streams supports the retention of high nitrogen 468 values inside the digester. Coupled to the high pH and ammonia values, was also alkalinity 469 470 which presented an average value of  $12.38 \pm 1.74$  g CaCO<sub>3</sub>/L. The behaviour of this 471 parameter was explained by ammoniacal nitrogen levels. 472 473 The reactor was initially fully loaded with inoculum, the daily addition of feed caused a slow increase in VS content and also in the amount of organics to be degraded by microorganisms. 474 During the first days of operation the concentration of VFAs in the reactor was lower than 475 0.50 g/L as shown in Fig. 4d. From day 8 onwards, the content of total VFAs (TVFAs) 476 presented a steep rise reaching values of 5.63 g/L, probably due to the high ammonia content. 477 Feeding to the reactor was performed at low organic loadings, carefully increasing the 478 479 incoming flow of this material to give enough time to anaerobic microflora to adapt to 480 inhibitory conditions. VFA accumulation is likely to be a negative outcome due to toxic and inhibitory changes to the harmonious global interplay between living and non-living matter in 481

the sludge. The increase in organic loading associated with phase II led to an accumulation inVFA.

The main acids measured were acetic and propionic, with the latter having values close to 3.0 484 g/L between days 10 and 40 of the experiment. Inhibitory values of 3.5 g/L of propionic acid 485 have been reported by Ahring et al. (1995) whereas Fierro and co-workers (2016) evaluated a 486 digester with propionic acid levels as high as 4.0 and 5.9 g/L when treating a mixture of 487 488 swine manure and glycerine without reporting digester failure problems. Thus in the present 489 study, high values of VFA were not believed to be responsible for irreversible inhibitory 490 conditions during digester performance, which was demonstrated by their subsequent decrease. Although phase III was a period presenting low feeding, the decrease in VFA was 491 associated mainly to the acclimation of microbial biomass since it took place earlier, just at 492 the beginning of phase II. 493

494

Ammoniacal nitrogen has been linked to VFA imbalances in similar experiments. For 495 496 instance, values in the range between 4.05 and 5.73 g NH<sub>3</sub>-N/L strongly affected acidogenic microbes and methanogens causing activity loss of 56.5% for these later species (Chen et al., 497 498 2008). Basic pH is also linked to VFA imbalances. At pH > 8, methanogenic activity is likely 499 to be damaged (Fisgativa et al., 2016). After the lapse of the first 50 days of the experiment, the concentration of propionic acid rapidly decreased but that of acetic acid increased. This is 500 linked to a predictable acclimation of fast propionic acid utilisers to produce acetic acid that 501 is slowly converted into methane by methanogens. Around day 65, propionic acid 502 concentration was almost zero whilst acetic acid kept decreasing until reaching a 503 concentration below detectable limits on day 100. At this point, the process could be 504 considered stable as suggested by Chen et al., (2008) after observing similar patterns, and by 505

Yenigün and Demirel (2013) who ran a digester with ammonium concentrations > 5 g/L after
an initial adaptation period.

508

Partial and total alkalinity (PA and TA) followed a similar trend (Fig. 4e). The high values 509 510 reported for these two parameters were explained by the high ammonia concentration in the reactor. During the first 10 days, alkalinity decreased associated with the evolution of VFA 511 512 increments. The high alkalinity observed in this reactor resulted in values of TVFA/alkalinity 513 ratio of 0.33 as maximum (attained in day 23). Once VFA concentration was significantly 514 reduced, alkalinity values experienced an increasing trend and the TVFA/alkalinity ratio was reduced to 0.22 on day 42 and continued to decrease thereafter. In the present work, 515 alkalinity ratio (PA/TA) was stable throughout the whole process, always below 0.37. From 516 day 80 onwards a decrease was observed along with a decrease in PA, indicating the process 517 is reaching stable conditions as suggested by Ripley et al. (1986). 518

519

520 Table 2 shows the results derived from the calculated parameters for evaluating biological performance. Methane yield was lower than that reported by Fisgativa et al. (2016) for 521 522 wastes of similar composition (460 mL/g VS). However, this value was obtained from 523 biochemical methane potential tests that were performed under batch conditions and therefore the hydraulic dynamic of the reactor was different. The value obtained in this experimental 524 work was within the expected range considering the negative effect exerted by high ammonia 525 concentration. Thus, the production obtained in this case was slightly lower than that reported 526 by other authors under similar experimental conditions. Banks et al. (2011) reported a value 527 of 402 mL/g VS for an industrial anaerobic digestion plant of 900 m<sup>3</sup> of reactor volume. 528 Algapani et al (2019) obtained a value of 510 mL g/VS in a two-phase configuration using a 529 recycling stream for producing H<sub>2</sub> in the first reactor and CH<sub>4</sub> in the second one (with 530

531	working volumes of 2 L and 4.5 L and also treating food waste as substrate). Considering the
532	composition of wastes used in the present research, the theoretical value calculated using the
533	Buswell equation was 494 mL/g VS. The methane yield obtained from the reactor was 37%
534	lower than that of the theoretical one. When taking as reference data obtained by Fisgativa et
535	al. (2016) and Banks et al. (2011), the reduction accounts for 16.5% on average.
536	
537	Table 2 here
538	
539	The average OLR for the period between days 55 and 90 was $1.06$ g VS/L d, comparable to
540	the lowest range used by Cabbai et al. (2016) and less than the one evaluated by Bolzonella et
541	al. (2019) with a value of 3.5 g VS/L d. During the operation of the unit, the irregularity in
542	the supply of the feed and the recirculation rate applied caused that only for days 55 - 90
543	feeding of the digester could be performed on a regular basis with a low OLR and an average
544	HRT of 55 days. However, the average HRT for the whole experiment (106 d) was about 80
545	days.
546	
547	3.2. Energy assessment
548	The consumption of energy for the period analysed reached a value ( $Q_{Total}$ ) of 9,155 kJ/kg
549	VS, which is equivalent to a specific power of 100 $W/m^3$ . This consumption is slightly higher

549 VS, which is equivalent to a specific power of 100 W/m<sup>3</sup>. This consumption is slightly higher 550 than that obtained by Walker et al. (2017) reporting a value of 75.1 W/m<sup>3</sup> for a digester with 551 size 3.6 times smaller. In the present research, the thermal energy needed for maintaining the 552 temperature of water tanks was obtained by the use of electric heaters ( $Q_{Man}$ ). If this energy 553 value was subtracted, so that both results became comparable, the value of specific power 554 would be 68.8 W/m<sup>3</sup> which was slightly lower. The scale factor is an important parameter to 555 be considered because the increase in reactor scale causes a decrease in energy demand. This is due to the fact that some energy consumption is associated with auxiliary equipment and remains approximately constant regardless of the size of the installation, such as the control unit. Others do not experience a linear increase proportional to the size of the plant, as it is the case of the heating system, because the surface of thermal leaks does not evolve proportionally to the volume of the reactor. The relationship between surface and volume decreases as the size of the digester increases.

562

The energy demand was analysed considering the different operating states of the prototype. 563 The summation of categories in Fig. 5a accounts for the total energy demand of the prototype 564 (9155 kJ/kg VS). The Heating state reports the highest energy consumption with a value of 565 58.4% of the total energy demand, followed by the Operation state that represents 35.9% (see 566 Fig. 5). The energy consumption associated with the states of Recirculation, Feeding and 567 Grinding was reduced, not exceeding 5.8% of the total consumption between the three 568 operating states. This low energy demand was due to the fact that, although several actuators 569 570 are involved in these states, the time needed for their activity is only 4.1%.

571

572 The plant is 73.3% of the time in the Operation state, for which the control unit monitors the 573 process variables, controls the evacuation of biogas and records data. Although these tasks do not imply a high energy demand, the long-time associated with this state along with the use 574 of thermal energy based on electric heaters (having high energy consumption) causes an 575 exacerbated demand for energy. The availability of a different thermal source would reduce 576 the energy needs of this operating state from 3,283 kJ/kg VS to 401 kJ/kg VS, which was the 577 value associated with the control unit. This reduction would translate into the global context 578 of the plant in 87.8% reduction in energy demand. 579

580

Within the heating state, the energy demand for producing hot water accounts for 33.5% (3,065 kJ/kg VS) of the total energy needs, whereas the pumping of digestate through the heating exchange system accounts for 22.3% (2,041 kJ/kg VS). These results show the high amount of energy necessary for keeping the temperature of the digester. The process was set to be in the Recirculation state 2.8% of the time (10 min ON and 5h and 50 min OFF) (Meroney and Colorado, 2009), which was equivalent to a renewal rate of 1.37 renewals/d, and a time of renovation equivalent to 17.5 h.

Fig. 5a shows that the system had a recirculation rate apparently lower than the set value.

This is explained by the fact that heating and recirculation tasks were performed 590 simultaneously but the system gives priority to heating over recirculation. In this prototype 591 heating also implies recirculating. If the periods associated with heating state are to be 592 593 considered as recirculating time, an effective recirculation regime is obtained with an average renewal time very close to 2 h, exceeding the values of 4 h used by Cabbai et al. (2016). 594 595 Despite this, a lower renewal rate could be used since high recirculation regimes do not show remarkable improvements in the amount of biogas produced (Lindmark et al., 2014), 596 597 contributing to decreasing energy needs of the prototype. 598 Figure 5 here 599

600

589

Regarding the energy consumption by the different devices, it should be noted that the electric water heaters are responsible for 66.6% of the energy consumed. This is mainly due to the energy associated with the Operation state and the high amount of energy required for maintaining process temperature, which takes place during the Heating state. The energy requirement of the main pump accounts for 24.0% of total devices. Most of this demand

(22.3%) was associated with the state of Heating. It should also be noted, that the energy
associated with the main pump during the Heating state also has an additional effect on the
demand of the recirculation. Energy consumption of the rest of the actuators was considered
negligible compared to the total energy needs.

610

The consumption of energy for electrical uses (Q<sub>C.el</sub>) accounts for 3,061 kJ/kg VS which was 611 equivalent to an average power of 31.88 W/m<sup>3</sup>. This result is considerably lower than that 612 obtained by Walker et al. (2017) with a value of 75.1  $W/m^3$ . The scale factor is obviously 613 behind the lower demand of the present prototype. These authors used a digester size 3.6 614 times smaller than the present one. 54% of its consumption was due to data recording, which 615 can be considered to have an energy demand approximately constant regardless of the size of 616 the plant. The thermal energy demand to keep process temperature ( $Q_{Proc}$ ) corresponds to a 617 power of 31.90 W/m<sup>3</sup> (3065 kJ/kg VS), with this value being also less than the obtained by 618 Walker et al. (2017), due to the scale factor previously discussed, 40 W/m<sup>3</sup>. 619 620 Q<sub>Proc</sub> can be divided in two categories, one is the energy necessary for heating the feed (Q<sub>Feed</sub>) and the other is the heat associated with intrinsic losses (Q<sub>Losses</sub>). The plant demanded 1,934 621 622 kJ/kg VS for heating the feed to the process temperature and had thermal losses of 1,131 623 kJ/kg VS associated with inefficiencies of insulation, representing 36.9% of the thermal demand of the unit. 624

625

The biogas yield of the prototype was  $0.56 \text{ m}^3/\text{kg VS}$  with an average methane richness of 64.3% (equivalent to an energy value ( $Q_{\text{Biogas}}$ ) of 12,864 kJ/kg VS). If the valorisation of biogas is assumed by means of a CHP unit (conditions stated in scenario B), an electrical energy generation (EG<sub>elec</sub>) of 2,894 kJ/kg VS and thermal energy (EG<sub>thermal</sub>) of 8,104 kJ/kg VS would be expected. Taking into account that 3,065 kJ/kg VS are needed for meeting the

631 thermal demand of the plant, then 5,039 kJ/kg VS would be available for other applications.

Energy flows are represented by a Sankey diagram shown in Fig. 6.

633

634 Figure 6 here

635

For the calculated energy flows, a COP<sub>th</sub> value of 2.64 and a COP<sub>el</sub> value of 0.95 were 636 637 obtained, thus in the case of electricity, the micro-plant produces less energy than that needed for its operation when an efficiency coefficient of 22.5% was assumed. However, when 638 results are recalculated considering the use of a CHP system with efficiencies of  $\eta_{el} = 25\%$ 639 and  $\eta_{\text{th}} = 50\%$ , for electricity and heat respectively, the new coefficients are COP<sub>th</sub> = 2.09 and 640 a  $COP_{el} = 1.05$ . Comparing these values with those obtained by Walker et al. (2017) (COP<sub>th</sub> 641 = 5.55 and  $COP_{el}$  = 1.47) the prototype reported in this study gives a lower performance 642 explained by the 33.8% lower OLR of operation. 643

644

The assessment of the reactor was also performed considering a theoretical increase in OLR 645 646 to a value of 2.7 g VS/L d. Results for this scenario are shown in blue colour in Fig. 8 affecting some of the energy quantities estimated. These results considered the same methane 647 yield. The increase in OLR caused a proportional increase in the electricity produced and 648 thermal energy available. Regarding the demand for thermal energy, a decrease in the 649 650 theoretical operating point relative to the one tested was observed, from 3,065 to 2,407 kJ/kg VS. This decrease is explained by the fact that thermal losses of the digester remain constant 651 regardless of the OLR applied. The demand of electrical energy has a similar trend, resulting 652 in a decrease when evaluating the theoretical operating point, from 3,061 (experimental 653 654 value) to 1,725 kJ/kg VS. In this case, the decrease is greater because the consumption of the different electric actuators remains about the same in spite of the increase in OLR, but for the 655

main pump and heating pump which have higher energy demands. Values of  $COP_{th}$  and COP<sub>el</sub> for this theoretical point were also calculated. The COP<sub>th</sub> is increased from 2.64 to 3.37, because heat losses remain constant causing a better thermal efficiency per unit of volatile solid. The COP<sub>el</sub> has a behaviour similar to that of COP<sub>th</sub>, due to the same reason, in this case it rises from 0.95 to 1.68, because much of the electricity demand of the plant presents minimum variations regarding the OLR applied.

662

#### 663 **4. Conclusions**

The assessment of the prototype was successfully carried out obtaining a methane yield of 360 L/kg VS at an OLR of 1.06 g VS/L d (calculated using data from days 55 to 90 of operation) in spite of the high concentrations of ammoniacal nitrogen (5100 mg/L). Most of the thermal energy requirements were associated with the raise of temperature of the feed to process conditions. The efficiency of the heating system was crucial, since a large amount of both electrical and thermal energy was necessary for operation, accounting for more than 80% of the total energy demand of the installation.

671

672 The energy assessment of the process was carried out assuming the incorporation of a 673 combined heat and power (CHP) unit for valorising biogas and avoiding the use of electrical heaters for the supply of heat. The Sankey diagram showed that the process was thermally 674 sustainable, since only 37.8% of the useful thermal energy generated by the CHP system 675 would be used to meet the heat demand of the reactor. However, it should be pointed out that 676 36.9% of the energy used in heating was lost due to thermal losses associated with the reactor 677 external surface and piping along with inefficiencies of the heating exchange system. The 678 COP<sub>el</sub> parameter was slightly lower than the unit indicating that the micro-plant was close to 679 reaching self-sufficiency. The OLR of the process was decisive for the overall performance 680

681	directly influencing the energy consumption per unit of volatile solid and therefore the energy
682	efficiency indicators. The evaluation of operating parameters when estimated at an OLR of
683	2.7 g VS/ L d would result in values of 3.37 for the COP $_{th}$ and 1.68 for the COP $_{el}$
684	
685	
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| 828        | Supplementary Material Caption   |
|------------|--|
| 829        | ESM. Details of Micro plant description and operation. Parameters recorded during the          |
| 830        | operation of the plant. Operating time of the different equipment constituting the micro-plant |
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46	Title: Performance evaluation of a small-scale digester for achieving decentralised	
47	management of waste	
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59	Abstract	
60	The performance of a small-scale prototype digestion plant (7.2 m <sup>3</sup> working volume)	
61	intended for decentralised operation was evaluated considering energy efficiency and	
62	technical suitability for blogas valorisation in producing electrical and thermal energy. The	
63	digester operated in recirculation mode to enhance organic matter conversion and improve	
64	volatile solid degradation. An energy assessment of the process assumed the incorporation of	
65	a combined heat and power (CHP) unit. The coefficient of overall performance of the plant	

for electrical energy (COP<sub>el</sub>) was 0.95 — this values was estimated at an electrical efficiency

67	of 22.5% and represents the ratio between energy production and consumption — for a
68	methane yield of 360 L/kg VS and an organic loading rate (OLR) of 1.06 g VS/L d. This
69	parameter was slightly lower than the unit thus indicating that the micro-plant was close to
70	attaining self-sufficiency regarding electrical energy use. The temperature increase of the
71	feed to process conditions supposed a significant amount of thermal energy which highly
72	compromised the efficiency when operating at low organic load, thus accounting for more
73	than $80\%$ of the total energy demand of the installation. When the energy assessment of the
74	process was performed at higher OLR of 2.7 g VS/ L d, the resulting $\rm COP_{el}$ value was1.68,

75 demonstrating the feasibility of this configuration for decentralised digestion.

### 76

77 Keywords: micro-plant energy performance, food waste digestion, decentralised waste

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78 treatment, prototype evaluation, anaerobic digestion

## 79 Nomenclature

- 80 CHP: combined heat and power
- 81 COP<sub>th</sub>: Coefficient of overall performance, thermal energy
- 82 COP<sub>el</sub>: Coefficient of overall performance, electrical energy
- 83 EG<sub>elec</sub>: Electrical energy generation
- 84 EG<sub>thermal</sub>: Thermal energy generation
- 85 HRT: Hydraulic retention time
- 86 OLR: organic loading rate
- 87 PA: Partial alkalinity
- 88 Q<sub>Biogas</sub>: Energy contained in biogas
- 89 Q<sub>C.el</sub>: Energy needed for electrical purposes
- 90 Q<sub>C.th</sub>: Energy needed for thermal purposes

- 91 Q<sub>Feed</sub>: Heat needed for increasing the temperature of the feed to process conditions
- 92 QLosses: Heat loss through reactor walls and piping
- 93 Q<sub>Man</sub>: Maintenance heat
- 94 Q<sub>Proc</sub>: Process heat
- 95 Q<sub>Total</sub>: Total energy demand of the plant
- 96 TA: Total alkalinity
- 97 VFA: Volatile fatty acid
- 98

### 99 1. Introduction

- 100 Decentralised anaerobic digestion is a promising alternative for low population density areas
- 101 or the treatment of a relatively small amount of wastes produced seasonally. This
- 102 management alternative may also be appropriate when the distance to a large-scale
- 103 centralised plant is less attractive on the grounds of sustainability due to transport impacts.
- 104 Anaerobic digestion is an efficient technology for treating organic substrates capable of
- 105 increasing the contribution of renewables to the overall energy production matrix (González
- 106 et al., 2020). The lack of aeration provides a great advantage due to the decrease in the
- 107 implicit cost associated with energy demand. However, depending on scale, anaerobic
- 108 digestion may be favoured for centralised treatment; whereas, composting may be preferred
- in the case of small on-farm for treating animal manure (Lin et al., 2019)
- 110 Another parameter of particular significance is the transport of residues. In reality, there are
- 111 limits based on reasonableness to the distance from where organic wastes are collected and
- subsequently treated at a centralised plant. Low population density areas impose challenges
- to the extrapolation of conventional technologies due to the smaller scale of the treatment
- units, the correspondingly higher operating costs and the practical and sustainability logistics
- of waste transportation. A study performed by Piñas et al. (2019) for a Brazilian scenario

showed that biogas plants using mono-substrates such as cattle manure presented economic 116 viability for electrical power higher than 740 kWe whereas a co-digestion system presented 117 economic viability for electrical power above 1000 kWe. This scale is unsuitable for many 118 applications in rural areas due to seasonal production and the significantly lower amount of 119 120 available waste.

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122 The development of small-scale digestion plants for treating waste in rural regions is crucial. Decentralised biogas production from manure and crop residues is not currently economical 123 or reliable because gas production usually has a low energy potential. Codigestion systems 124 are not economically viable because of the costs associated with silages and transport of 125 biomass material (Piñas et al., 2019). These factors have delayed development in the 126 127 implementation of this type of technology. Transportation of feedstock must not exceed 20 -30 km (two-way), since increasing this distance negatively affects the economics (Rajendran 128 and Murthy, 2019) thus justifying the need for decentralised units. However, the high initial 129 investment of these plants act as the main disincentive requiring support from fiscal subsidies 130 (Win et al., 2017). The design of small biogas reactors also raises fundamental issues 131 concerning the energy demand associated with pretreatment and achieving good mixing in 132 133 the reactor in order to optimise gas yields when feeding combinations of dry and wet food wastes, or domestic and agricultural wastes (Radu et al., 2016). 134 135 Small-scale digestion plants have recently attracted considerable interest to shift towards a 136

more decentralised biowaste management strategy. This approach offers advantages 137 138 compared to the conventional centralised waste treatment associated with reduced transport 139 requirements and the potential benefit of increased community involvement. The efficiency of the decentralised approach relies on a close integration of the whole treatment supply

chain, from the generation of biowastes to the valorisation of biogas and digestate (Thiriet etal., 2020).

143

Production activities and small communities must meet certain requirements if the 144 145 decentralised approach for waste treatment is to become feasible. The first requirement is that these activities must generate organic waste streams of a high organic content that is readily 146 147 biodegradable and with high methane potential. The second requirement is that they must be associated with local electrical and thermal energy demands. These characteristics define a 148 niche of activities that mainly focuses on agri-food industries (agri-food farms, food products 149 industries, livestock farms), sewage treatment plants and food waste managers. Decentralised 150 anaerobic digestion facilities could produce operational benefits such as, greater ease in the 151 152 management of wastes, the possibility of having energy autonomously, as well as economic benefits linked to thermal energy generation and production of organic fertilisers and 153 amendments (Anyaoku and Baroutian, 2018). Other benefits are the ability to handle and 154 treat wastes using the proximity principle basis, as close to point of origin as possible, which 155 can drastically reduce emissions and impacts associated with transport. In addition, there 156 would be another series of short-term improvements such as the increase in the generation of 157 158 distributed energy, which in turn would contribute to the stability of the electrical system and reduce the costs of transporting energy and potential pollution from large centres of 159 generation. 160

161

The reduction of costs associated with logistics when treating wastes would also be part of the short-term improvement as well as the optimisation of municipal waste treatment (Wang et al., 2014). It is for these previous reasons that the possibility of using "flexible anaerobic digestion micro-plants" are promising at this moment. Here, these plants are defined as

"micro" for their small treatment capacity, being limited to amounts of < 1,000 t/year (<15 166 kWel) (NNFCC, 2017). The term "flexible" refers to a type of facility, despite its small size, 167 that is provided with a process control system with similar benefits to those of large 168 centralised anaerobic digestion plants, being also capable to adapt to any particular need 169 170 regarding the type of organic material, nutrient content and seasonal production of waste. These characteristics allow this type of decentralised plant to present an operational 171 172 improvement over traditional centralised installations. Decentralised treatment units are 173 being considered a more sustainable solution because energy requirements are much lower and sophisticated operation is avoided thus being easy to adapt to different geographic 174 contexts (Lourenço and Nunes, 2020). 175 176 177 This research evaluates the suitability of a micro-digestion plant for the decentralised treatment of food wastes in a real environment. The novelty of this prototype is based on its 178 179 capacity for valorising wastes near the source, reducing transport needs and activating the local economy. Developing a new solid waste management strategy based on small 180 decentralised units offers new opportunities for implementing this model into developing 181 countries and communities with a disperse population. These small treatment plants can 182 183 promote community participation and avoid an undesirable accumulation of organic materials prone to degrade uncontrollably. Decentralised management of wastes offers several 184 advantages but there is a lack of studies reporting on the electric and thermal performance of 185 small-scale prototypes. 186

187

In the present study, the first objective was to evaluate the digestion process using a microplant of flexible configuration fed with substrates comparable to those composing the organic fraction derived from catering services. The second objective was to evaluate the energy

efficiency of the micro-plant establishing an energy balance to assess thermal and electric performance. This manuscript, thus reports data for evaluating performance of the digestion process considering not only biological yields but also energy demands associated with the operation of small scale units.

195

## 196 2. Materials and methods

197 2.1. Inoculum and substrates

Food wastes used as substrates were obtained from a hostelry school for the training of 198 cuisine professionals. The school was dedicated to the teaching of Italian, French, Mexican 199 and Spanish cooks. Undesirable materials like packaging, containers, bones, cutlery and other 200 non-degradable components were manually screened out to obtain a food waste fraction easy 201 202 to handle for grinding machines and free of plastics and any other kind of inert components that would exert a detrimental effect on the quality of the digestate. Food waste was daily 203 transported using 50 L closed steel vessels from the school to the Algodor plant (located in 204 Toledo, Spain) where the prototype was installed. This plant is specialised in the biological 205 treatment of organic wastes from a diversity of sources, including fruit and vegetable wastes 206 from the fourth-range industry, food waste from the hostelry sector and those from the 207 208 maintenance of green areas. Currently these materials are transformed by static pile composting. The digestion prototype was installed in this treatment centre, with the aim of 209 evaluating the suitability of energy production from wastes received daily. 210 211 The waste was subjected to an initial triage to remove contaminants and record daily quantity 212

213 of waste received. Periodic sampling was carried out for characterisation of in-coming

214 material for quality control and quality assurance purposes. The weighing of food wastes was

215 performed using an industrial floor scale balance with a precision of 0.5 kg (Steinberg

216 Systems SBS-BW-1T). After weighing, the waste was fed into the pretreatment unit for

217 grinding and then onwards to the reactor (Fig. 1a). The chemical and physical

characterisation of food wastes is summarised in Table 1.

219

220 Table 1 here

221

222 Inoculum used to seed the micro-plant anaerobic digester was a digestate obtained from a co-

223 digestion plant treating a mixture of municipal solid wastes and wastes derived from a meat

224 processing factory. The industrial digester was located at the solid waste treatment centre of

South Madrid, Pinto. The total solid content of the inoculum was  $41.0 \pm 1.9$  g/L with a

volatile solid content of  $18.4 \pm 0.7$  g/L. Once loaded into the digester, the inoculum was

heated at  $37 \pm 1$  °C for 20 days without any additional material being fed to allow the

228 removal of the "background" biogas production and to achieve maximum degradation of

229 available organic materials before commencing with pilot plant operations.

230

231 Figure 1 here

232

233 2.1. Micro-plant description and operation

The operational units comprising the pilot plant are presented in Fig. 1b showing the main

235 equipment and auxiliary components. A detailed description of the plant and controller

actions are given in the electronic supplementary material (ESM). The digestion unit consists

237 of a tank reactor, the operation of which depends exclusively on the multipurpose pump. The

digester has a total volume of 8776 L with a working volume of 7200 L. Mixing is performed

239 by recirculation of the digestate using a multipurpose pump. The digester operated under

mesophilic conditions at  $37 \pm 1$  °C. The average organic loading rate was 0.68 kg VS/m<sup>3</sup> d.

241 The prototype was kept in operation for 106 days.

242 Actual biogas production was compared with theoretical predictions from a simplified

243 version of Simons and Buswell equation (Møller et al., 2004):

244 
$$B_{u}\left(\frac{L CH_{4}}{kg VS}\right) = \frac{\frac{n}{2} + \frac{a}{8} + \frac{b}{4}}{12n + a + 16b} * 22.4$$

245

Methane production was estimated by assuming that all organic material was converted into
biogas (methane and carbon dioxide) along with water. The use of carbon for microbial
growth and maintenance needs were not considered. This expression establishes as main
elements of organic matter: carbon, hydrogen and oxygen using the empirical formula
C<sub>n</sub>H<sub>a</sub>O<sub>b</sub>. The ultimate methane production (Bu) was calculated based on the stoichiometric
Buswell equation and using the gas ideal factor for estimating the volume of a gaseous
substance (1 mol) at STP conditions.

253

Due to instabilities intrinsic to the plant operation, both the frequency and the feeding rate were variable throughout the trial to adapt to substrate availability. The period selected to assess plant performance was from day 55 to 90 included (35-day continuous period) since this period showed process stability in terms of feeding rate and methane production.

258

The waste received daily was incorporated into the process through the pretreatment unit. For this, the waste material was poured into the feeding hopper for grinding and then into the pretreatment unit. In this tank, the organic material was mixed with digestate from the digestate storage tank to dilute the mixture, accelerate hydrolysis and further reduce the particle size thus facilitating their introduction into the main digester. A dilution ratio was established to attain a volumetric proportion of 200 L food waste/m<sup>3</sup>. The feeding procedure involved manual

registration of parameters that are not automatically recorded by the control unit, as it is: the 265 amount of ground waste, the levels of the pretreatment unit and digestate storage tank along 266 with readings of energy consumption of electric devices. During the digestion test, samples of 267 the feed and digestate were regularly collected for characterisation at a frequency of once or 268 269 twice each week. Difficulties associated with the operation of the prototype led to an irregular feeding of the reactor. The start-up of the plant was carried out at low organic loadings and was 270 271 denoted phase I. Phase II corresponds to an increase in the organic loading which was based 272 on the performance of digestion. Phase III was the last stage and corresponds to a period where feeding to the reactor was not available due to technical problems at the Algodor plant. The 273 experimental period was established based on the time indicated by project activities to test 274 and evaluate energetic performance of the prototype for obtaining a commercial and flexible 275 276 unit capable of treating a great variety of wastes at small scale. For this reason, the prototype was not tested for a longer period using this type of feeding. 277

278

The prototype control unit has four operating states (Operation, Heating, Recirculation and 279 Feeding) to carry out the digestion process. Based on the values of the process variables and 280 the operating instructions, the control unit was responsible for activating the corresponding 281 282 operating status, so that the process was carried out following the operating instructions. There was another operating state (Grinding) that is outside the control capacity of the 283 control unit, since this was done manually, activities related to this later state took place 284 simultaneously to any of the other operating states. The recirculation frequency of the mixing 285 pump was 10 min every 6 hours, which for the installed device having a volumetric flow of 286 14.82 m<sup>3</sup>/h, represents a turnover time of 17 h. The operational turnover time was affected by 287 288 the heating needs, which are met by turning on the multipurpose pump and the heat

exchanger, thus reducing this parameter. The heating system was capable of maintaining the

- 290 temperature at the set value with a dead band of 1  $^{\circ}$ C.
- 291

292 2.3. Chemical analyses and data recording

- 293 Total alkalinity (TA), partial alkalinity (PA), pH, total and volatile solids (TS and VS) and
- ammonia nitrogen (measured via selective electron) were quantified following APHA
- standard methods (2005). Free ammonia concentration was measured using the equation
- reported by Calli et al., (2005). Volatile fatty acids (VFAs) were measured using a gas
- 297 chromatographer (Varian CP-3800) fitted with a flame ionisation detector coupled to a Nukol
- capillary column (30 m  $\times$  0.25 mm  $\times$  0.25  $\mu$ m, Supelco). The injector and detector
- temperatures were 220 and 250 °C, respectively. The temperature was programmed to start at
- 300 150 °C for 3 min and increase to 180 °C at 15 °C/min. <u>The use of Supelco Column for VFA</u>
- 301 measurement gives better results when applying an initial oven temperature of 150 °C to
- 302 avoid peak overlapping when high acetic and propionic acid concentration are to be expected.
- Helium was the carrier gas, and calibration proceeded using a commercial C2-C7 standard
- mixture of VFAs (Supelco, Germany) up to a detection limit of 5.0 mg/L. Samples
- 305 preparation proceeded by centrifugation, for 10 min at 3500 g, to separate the supernatant
- 306 which is filtrated with a 0.45 µm cellulose filter. Methane production was calculated using
- 307 recorded data of biogas production and composition as detailed in the Micro-plant description
- 308 section in electronic supplementary material, see Table ESM\_1. The calibration of online
- 309 analytical equipment proceeded as recommended by the manufacturer.
- 310
- 311 Mass balance calculations were performed using manual data recording, results from
- sampling of the feeding material and digester liqueur and data obtained from the automatic
- 313 register of the control unit. A description of the parameters recorded is provided in

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314	supplementary information Table ESM_2. These data along with the different parameters of
315	the process were used for calculating mass balances and biological indicators of reactor
316	performance as it is: daily biogas production (L/d), methane yield (mL CH <sub>4</sub> / g VS), methane
317	production performance (mL CH <sub>4</sub> / $m^3_{reactor}$ g VS). Unlike laboratory tests where feeding
318	conditions are completely controlled, during evaluation of the prototype the operating
319	conditions are subject to waste availability, variability in its composition and the degree of
320	dilution at which the pretreatment unit is operating. These conditions directly influence
321	parameters such as hydraulic retention time (HRT), organic loading rate (OLR) and biogas
322	production. To facilitate data evaluation and estimate plant performance, process parameters
323	obtained during seven days were averaged.

325 2.4. Energy analysis

This analysis evaluated the energy demand for each operating state of the micro-plant (Operation, Recirculation, Heating, Feeding and Grinding) and each state of the different actuators (main pump, submersible pump, heating pump, stirrer and control unit). The energy analysis of the pretreatment unit was based on the daily quantities of crushed waste, which was manually recorded. The energy consumed was based on the demand of the grinder and the operating time (having a capacity of 6 kg/min of waste) and the supply of dilution liquid to the feeding unit which was done by gravity from the digestate storage tank.

333

The coefficient of overall process performance was evaluated using the thermal energy produced (COP<sub>th</sub>). This coefficient was calculated as the ratio between the useful thermal energy produced and the thermal energy consumed. The coefficient of overall performance for electrical energy (COP<sub>el</sub>) was calculated as the ratio between the electrical energy

338 produced and the one consumed, therefore this coefficient represents a self-sufficiency rate.

ESM provides supplementary information on the active time for the different devices (TableESM\_3), which was used to estimate the energy demand of the prototype.

The temperature of the digester was maintained by using a heating system involving electric 341 water heaters having two thermostats responsible for keeping the temperature of the water tank 342 at 60 °C. When there was no demand for heating, the activation of the thermostats only takes 343 place for maintaining the temperature at the established set-point, with a certain frequency, fl. 344 On the contrary, during the heating state (when there was demand for heat) activation of the 345 thermostats takes plays at a different frequency, f2, which was higher to meet the heat demand. 346 The determination of the operating frequencies f1 and f2 allows differentiating between the 347 energy consumed during the heating state (process heat (QProc)) and the energy consumed 348 during the remaining operating states (maintenance heat (Q<sub>Man</sub>)). The summation of these two 349 quantities accounts for energy needs associated with thermal purposes (Q<sub>C.th</sub>). The electric 350 water heaters had an associated energy meter, IWATION 3680W, which allowed the manual 351 352 recording of energy consumption over time. This mode of operation implied that Q<sub>Man</sub> had a 353 permanent electricity consumption baseline. The amount of heat necessary for keeping the 354 temperature of the reactor (QProc) comprised two aspects, one for increasing the temperature of the feed (QFeed) and another regarding the loss of heat through reactor walls and piping (QLosses). 355

356 2.5. Analysis of scenarios

This research work deals with the installation and operation of a prototype thus low OLR issues were associated with initial tests due to acclimation of the anaerobic microflora. The present manuscript shows results obtained from the performance of this unit when treating highly degradable wastes, thus acid build-up limited the treatment capacity of this plant. Operation of this plant was continued beyond the present state here reported but data obtained from subsequent experimental stages were not reported in the present manuscript due to commercial  $\frac{\text{decisions regarding companies investing in this prototype.}}{\text{The performance of the micro-plant}}$ was evaluated considering two different scenarios A and B. Scenario A is used to measure the energy demand of the installation without taking into account biogas valorisation. The data associated with the total energy demand of the plant (Q<sub>Total</sub>) are classified according to their purpose, that is, the energy for electrical purposes (Q<sub>C.el</sub>), and that for thermal purposes (Q<sub>C.th</sub>).

Scenario B considers the inclusion of a hypothetical micro-cogeneration system. The energy 368 balance is then evaluated assuming the production of thermal and electrical energy. The heat 369 needed for the process was assumed to be provided by a micro combined heat and power (CHP) 370 system Ecowill cogenerator (Roselli et al., 2011; Staffell et al., 2015). This unit has an electrical 371 372 and thermal output of 1 kW and 2.8 kW, respectively with overall energy efficiency of 85% (electrical efficiencies of 22.5% and thermal efficiency of 63.0%). The energy contained in 373 biogas is denoted as Q<sub>Biogas</sub>, and that derived from the hypothetical valorisation using the CHP 374 375 unit was denoted as EGelec and EGthermal, regarding the electrical and thermal energy produced.

376 Low OLR directly affects biogas yields, therefore hypothetical performance of this plant was

377 evaluated at a higher value, considering that electric and thermal related parameters were

378 already measured during the first experimental stage and are independent of the OLR applied.

379 The efficiency parameters of the micro-plant were also estimated assuming the application of

- a theoretical OLR of 2.7 g VS/L d and a content of volatile solids in the reactor of 29 g/L, based
- 381 on the operating values reported by Banks et al. (2011). The low heating value of methane was
- $35.7 \text{ kJ/m}^3$  (Demosthenous et al., 2016).

## 383 3. Results and discussion

384 3.1. Reactor performance

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The temporal distribution shown in Fig. 2 represents the mass flows of the auxiliary tank. These 385 flows correspond to the ground waste streams, dilution liquid and the flow of feeding substrate 386 to the reactor. Since the flows are represented for the pre-treatment storage tank, the feeding 387 flow in the diagram is represented as a negative value. Therefore, in this diagram incoming 388 389 materials to the pretreatment unit have positive values, and the feeding volume into the anaerobic reactor withdrawn from the pretreatment tank has negative values. The tank acts as 390 391 a buffer system for the daily variations of the amount of waste received. The temporal 392 distribution of the feeding substrate into the reactor is different from that of the crushed waste. However, in the long term, the accumulated values are obviously equivalent. Difficulties 393 associated with the operation of the prototype and the performance of the digestion process led 394 to irregular feeding of the reactor. Fig. 2 also shows the start-up of the plant where low organic 395 396 loadings are applied (represented as phase I). The increase in the organic loading was based on the performance of digestion and it is represented in the diagram as phase II. Finally phase III 397 corresponds to a period where feeding to the reactor was not available due to technical 398 problems at the Algodor plant. 399

400

408

for the digested material.

During the total period of 106 days of pilot plant operation, the digestate had average TS concentrations of  $3.05 \pm 0.34\%$  with a value of  $48.1 \pm 3.14\%$  VS/TS, accounting for an average content of 14.7 g VS/L, which is within the range between 2.9 and 40 g VS/L reported by Banks et al. (2011) and Walker et al. (2017). The pilot plant operated in a stable form throughout the evaluation with the values of solid content measured for the digested material (TS and VS) reporting low variability. The pilot plant operated in a stable form throughout the evaluation with values of solid content (TS and VS) reporting low variability

#### Figure 2 here 409

410 Figure 3 shows the mass flow expressed as a mass loading rate for the different streams involved in the operation of the reactor. Fig. 3a shows the average values for the whole 411 evaluation time, whereas Fig. 3b represents the period corresponding to days 55 to 90 412 inclusive. The operation of the prototype was characterised by a high recirculation rate 413 accounting for an average value of 73.7% for the whole experimental term, whilst this value 414 was slightly higher during the 55 - 90 day period, accounting for about 80% of the total mass 415 flow entering into the reactor. 416

Figure 3 here 417

418

The mass flow expressed in terms of volatile solids is represented in Fig 3c and 3d for the average values obtained during the whole experimental period and also for days 55 - 90. The 419 420 contrast between the two figures is clearly observed by the percentage associated with the recycling streams. This stream was characterised by a high value when the total mass flow is 421 422 considered, but it only represents approximately 18% of the VS flow (see Fig 3c). Due to difficulties associated with manual data handling and plant operation, there was a 423 disagreement of about 5% in closing the mass balance and this value was also observed when 424 evaluating the period from days 55 to 90. This disagreement in volatile solid balance was 425 however considered reasonable given the scale of operation and the heterogeneity of food 426 wastes. Other authors report an acceptable mass balance disagreement of up to 9.4% for 427 similar studies (Banks et al., 2011). 428 429 The removal of volatile solids attained during the digestion process was on average 93.1%,

- with these values decreasing slightly when the feeding was regularly available to 91.5% 430
- (days 55 90). This high value of solid destruction was associated with the high rate of 431

432 recycling digestate back into the reactor, therefore affecting the residence time of

microorganisms in a favourable way and increasing the capability of the reactor for degradingOLR supplied.

435

The parameters evaluating the performance of the digestion process are reported in Fig. 4, 436 showing the daily biogas production, evolution of solid content in the reactor, pH, ammonia, 437 438 VFA and alkalinity. Biogas production presented and increasing trend which was explained by the performance of the different digestion parameters. The volume of feeding to the 439 440 reactor was based on the evolution of acid intermediaries and alkalinity, thus the increasing biogas production is in consonance with varying OLR. 441 442 The content of VS in the reactor was particularly low, being associated with the high 443 444 degradation attained (Fig. 4b). This value was on average slightly higher than 40% not reaching values greater than 50% after day 30 of operation demonstrating the high 445 stabilisation achieved during the degradation process. Organic loading was restricted based 446 on the trend of the parameters being monitored during digestion. During the total period of 447 106 days, the pH was on average  $8.10 \pm 0.17$  and remained stable until the end of operation 448 449 (Fig. 4c). This parameter was not at all useful to establish feeding periods for the digester. 450 Figure 4 here 451 452 Ammonia nitrogen concentrations also remained stable at an average concentration of 5.10  $\pm$ 453

454 0.50 g NH<sub>3</sub>-N/L. Although this value is considered high and may even be a cause of

455 inhibition (Cabbai et al., 2016), in the present case, inhibition was avoided by restricting

456 organic loading to the reactor attaining regular production of methane and high removal of

457	volatile solids. The stable behaviour of pH was explained by the high alkalinity provided by
458	ammonium levels in the digester. In this experimental work, high ammonia concentration
459	was attributed to the inoculum used for the start-up process which was rich in ammoniacal
460	nitrogen as it came from a co-digestion reactor treating a substrate from the meat industry. In
461	addition, food waste had a C/N ratio of 18.7 $\pm$ 1.98 so that its nitrogen content did not
462	represent a risk on the deficit of this nutrient.

There was a high recycling rate of digestate. During the plant daily operation, about 30% of supernatant was withdrawn from the digestate storage tank. The recycling of digestate was intended for solubilising the food waste and aid in further particle size reduction.

467 Ammoniacal nitrogen was thus minimally depleted from the digestate since the content of468 feed material plus that from the recycling streams supports the retention of high nitrogen

469 values inside the digester. Coupled to the high pH and ammonia values, was also alkalinity

470 which presented an average value of  $12.38 \pm 1.74$  g CaCO<sub>3</sub>/L. The behaviour of this

471 parameter was explained by ammoniacal nitrogen levels.

- 472
- 473 The reactor was initially fully loaded with inoculum, the daily addition of feed caused a slow

474 increase in VS content and also in the amount of organics to be degraded by microorganisms.

475 During the first days of operation the concentration of VFAs in the reactor was lower than

476 0.50 g/L as shown in Fig. 4d. From day 8 onwards, the content of total VFAs (TVFAs)

477 presented a steep rise reaching values of 5.63 g/L, probably due to the high ammonia content.

478 Feeding to the reactor was performed at low organic loadings, carefully increasing the

479 incoming flow of this material to give enough time to anaerobic microflora to adapt to

480 inhibitory conditions. VFA accumulation is likely to be a negative outcome due to toxic and

481 inhibitory changes to the harmonious global interplay between living and non-living matter in

the sludge. The increase in organic loading associated with phase II led to an accumulation inVFA.

The main acids measured were acetic and propionic, with the latter having values close to 3.0 484 g/L between days 10 and 40 of the experiment. Inhibitory values of 3.5 g/L of propionic acid 485 486 have been reported by Ahring et al. (1995) whereas Fierro and co-workers (2016) evaluated a digester with propionic acid levels as high as 4.0 and 5.9 g/L when treating a mixture of 487 488 swine manure and glycerine without reporting digester failure problems. Thus in the present study, high values of VFA were not believed to be responsible for irreversible inhibitory 489 conditions during digester performance, which was demonstrated by their subsequent 490 decrease. Although phase III was a period presenting low feeding, the decrease in VFA was 491 associated mainly to the acclimation of microbial biomass since it took place earlier, just at 492 493 the beginning of phase II.

494

Ammoniacal nitrogen has been linked to VFA imbalances in similar experiments. For 495 instance, values in the range between 4.05 and 5.73 g NH<sub>3</sub>-N/L strongly affected acidogenic 496 microbes and methanogens causing activity loss of 56.5% for these later species (Chen et al., 497 2008). Basic pH is also linked to VFA imbalances. At pH > 8, methanogenic activity is likely 498 499 to be damaged (Fisgativa et al., 2016). After the lapse of the first 50 days of the experiment, the concentration of propionic acid rapidly decreased but that of acetic acid increased. This is 500 linked to a predictable acclimation of fast propionic acid utilisers to produce acetic acid that 501 is slowly converted into methane by methanogens. Around day 65, propionic acid 502 concentration was almost zero whilst acetic acid kept decreasing until reaching a 503 concentration below detectable limits on day 100. At this point, the process could be 504 505 considered stable as suggested by Chen et al., (2008) after observing similar patterns, and by

Yenigün and Demirel (2013) who ran a digester with ammonium concentrations > 5 g/L after
an initial adaptation period.

508

Partial and total alkalinity (PA and TA) followed a similar trend (Fig. 4e). The high values 509 510 reported for these two parameters were explained by the high ammonia concentration in the reactor. During the first 10 days, alkalinity decreased associated with the evolution of VFA 511 increments. The high alkalinity observed in this reactor resulted in values of TVFA/alkalinity 512 ratio of 0.33 as maximum (attained in day 23). Once VFA concentration was significantly 513 reduced, alkalinity values experienced an increasing trend and the TVFA/alkalinity ratio was 514 reduced to 0.22 on day 42 and continued to decrease thereafter. In the present work, 515 alkalinity ratio (PA/TA) was stable throughout the whole process, always below 0.37. From 516 517 day 80 onwards a decrease was observed along with a decrease in PA, indicating the process is reaching stable conditions as suggested by Ripley et al. (1986). 518 519 Table 2 shows the results derived from the calculated parameters for evaluating biological 520 performance. Methane yield was lower than that reported by Fisgativa et al. (2016) for 521 wastes of similar composition (460 mL/g VS). However, this value was obtained from 522 523 biochemical methane potential tests that were performed under batch conditions and therefore the hydraulic dynamic of the reactor was different. The value obtained in this experimental 524 work was within the expected range considering the negative effect exerted by high ammonia 525 concentration. Thus, the production obtained in this case was slightly lower than that reported 526 by other authors under similar experimental conditions. Banks et al. (2011) reported a value 527 of 402 mL/g VS for an industrial anaerobic digestion plant of 900 m<sup>3</sup> of reactor volume. 528 529 Algapani et al (2019) obtained a value of 510 mL g/VS in a two-phase configuration using a recycling stream for producing H<sub>2</sub> in the first reactor and CH<sub>4</sub> in the second one (with 530

531	working volumes of 2 L and 4.5 L and also treating food waste as substrate). Considering the
532	composition of wastes used in the present research, the theoretical value calculated using the
533	Buswell equation was 494 mL/g VS. The methane yield obtained from the reactor was 37%
534	lower than that of the theoretical one. When taking as reference data obtained by Fisgativa et
535	al. (2016) and Banks et al. (2011), the reduction accounts for 16.5% on average.

537 Table 2 here

538

The average OLR for the period between days 55 and 90 was 1.06 g VS/L d, comparable to the lowest range used by Cabbai et al. (2016) and less than the one evaluated by Bolzonella et al. (2019) with a value of 3.5 g VS/L d. During the operation of the unit, the irregularity in the supply of the feed and the recirculation rate applied caused that only for days 55 - 90 feeding of the digester could be performed on a regular basis with a low OLR and an average HRT of 55 days. However, the average HRT for the whole experiment (106 d) was about 80 days.

546

547 3.2. Energy assessment

548 The consumption of energy for the period analysed reached a value (Q<sub>Total</sub>) of 9,155 kJ/kg 549 VS, which is equivalent to a specific power of 100 W/m<sup>3</sup>. This consumption is slightly higher than that obtained by Walker et al. (2017) reporting a value of 75.1 W/m<sup>3</sup> for a digester with 550 size 3.6 times smaller. In the present research, the thermal energy needed for maintaining the 551 temperature of water tanks was obtained by the use of electric heaters (Q<sub>Man</sub>). If this energy 552 value was subtracted, so that both results became comparable, the value of specific power 553 would be 68.8 W/m<sup>3</sup> which was slightly lower. The scale factor is an important parameter to 554 be considered because the increase in reactor scale causes a decrease in energy demand. This 555

is due to the fact that some energy consumption is associated with auxiliary equipment and remains approximately constant regardless of the size of the installation, such as the control unit. Others do not experience a linear increase proportional to the size of the plant, as it is the case of the heating system, because the surface of thermal leaks does not evolve proportionally to the volume of the reactor. The relationship between surface and volume decreases as the size of the digester increases.

562

The energy demand was analysed considering the different operating states of the prototype. 563 The summation of categories in Fig. 5a accounts for the total energy demand of the prototype 564 (9155 kJ/kg VS). The Heating state reports the highest energy consumption with a value of 565 58.4% of the total energy demand, followed by the Operation state that represents 35.9% (see 566 567 Fig. 5). The energy consumption associated with the states of Recirculation, Feeding and Grinding was reduced, not exceeding 5.8% of the total consumption between the three 568 operating states. This low energy demand was due to the fact that, although several actuators 569 are involved in these states, the time needed for their activity is only 4.1%. 570 571 The plant is 73.3% of the time in the Operation state, for which the control unit monitors the 572 573 process variables, controls the evacuation of biogas and records data. Although these tasks do not imply a high energy demand, the long-time associated with this state along with the use 574 575 of thermal energy based on electric heaters (having high energy consumption) causes an

exacerbated demand for energy. The availability of a different thermal source would reduce
the energy needs of this operating state from 3,283 kJ/kg VS to 401 kJ/kg VS, which was the
value associated with the control unit. This reduction would translate into the global context

of the plant in 87.8% reduction in energy demand.

580

581	Within the heating state, the energy demand for producing hot water accounts for 33.5%
582	(3,065  kJ/kg VS) of the total energy needs, whereas the pumping of digestate through the
583	heating exchange system accounts for 22.3% (2,041 kJ/kg VS). These results show the high
584	amount of energy necessary for keeping the temperature of the digester. The process was set
585	to be in the Recirculation state 2.8% of the time (10 min ON and 5h and 50 min OFF)
586	(Meroney and Colorado, 2009), which was equivalent to a renewal rate of 1.37 renewals/d,
587	and a time of renovation equivalent to 17.5 h.
588	
589	Fig. 5a shows that the system had a recirculation rate apparently lower than the set value.
590	This is explained by the fact that heating and recirculation tasks were performed
591	simultaneously but the system gives priority to heating over recirculation. In this prototype
592	heating also implies recirculating. If the periods associated with heating state are to be
593	considered as recirculating time, an effective recirculation regime is obtained with an average
594	renewal time very close to 2 h, exceeding the values of 4 h used by Cabbai et al. (2016).
595	Despite this, a lower renewal rate could be used since high recirculation regimes do not show
596	remarkable improvements in the amount of biogas produced (Lindmark et al., 2014),
597	contributing to decreasing energy needs of the prototype.
598	
599	Figure 5 here
600	
601	Regarding the energy consumption by the different devices, it should be noted that the
602	electric water heaters are responsible for 66.6% of the energy consumed. This is mainly due
603	to the energy associated with the Operation state and the high amount of energy required for
604	maintaining process temperature, which takes place during the Heating state. The energy
605	requirement of the main pump accounts for 24.0% of total devices. Most of this demand

606 (22.3%) was associated with the state of Heating. It should also be noted, that the energy 607 associated with the main pump during the Heating state also has an additional effect on the 608 demand of the recirculation. Energy consumption of the rest of the actuators was considered 609 negligible compared to the total energy needs.

610

The consumption of energy for electrical uses (Q<sub>C,el</sub>) accounts for 3,061 kJ/kg VS which was 611 equivalent to an average power of 31.88 W/m<sup>3</sup>. This result is considerably lower than that 612 obtained by Walker et al. (2017) with a value of 75.1 W/m<sup>3</sup>. The scale factor is obviously 613 behind the lower demand of the present prototype. These authors used a digester size 3.6 614 times smaller than the present one. 54% of its consumption was due to data recording, which 615 can be considered to have an energy demand approximately constant regardless of the size of 616 617 the plant. The thermal energy demand to keep process temperature (QProc) corresponds to a power of 31.90 W/m<sup>3</sup> (3065 kJ/kg VS), with this value being also less than the obtained by 618 Walker et al. (2017), due to the scale factor previously discussed, 40 W/m<sup>3</sup>. 619 620 QProc can be divided in two categories, one is the energy necessary for heating the feed (QFeed) and the other is the heat associated with intrinsic losses (QLosses). The plant demanded 1,934 621 kJ/kg VS for heating the feed to the process temperature and had thermal losses of 1,131 622 623 kJ/kg VS associated with inefficiencies of insulation, representing 36.9% of the thermal demand of the unit. 624 625

The biogas yield of the prototype was 0.56 m<sup>3</sup>/kg VS with an average methane richness of 64.3% (equivalent to an energy value ( $Q_{Biogas}$ ) of 12,864 kJ/kg VS). If the valorisation of biogas is assumed by means of a CHP unit (conditions stated in scenario B), an electrical energy generation (EG<sub>elec</sub>) of 2,894 kJ/kg VS and thermal energy (EG<sub>thermal</sub>) of 8,104 kJ/kg VS would be expected. Taking into account that 3,065 kJ/kg VS are needed for meeting the

631	thermal demand of the plant, then $5,039 \text{ kJ/kg VS}$ would be available for other applications.
632	Energy flows are represented by a Sankey diagram shown in Fig. 6.

634 Figure 6 here

636	For the calculated energy flows, a $COP_{th}$ value of 2.64 and a $COP_{el}$ value of 0.95 were
637	obtained, thus in the case of electricity, the micro-plant produces less energy than that needed
638	for its operation when an efficiency coefficient of 22.5% was assumed. However, when
639	results are recalculated considering the use of a CHP system with efficiencies of $\eta_{el} = 25\%$
640	and $\eta_{th} = 50\%$ , for electricity and heat respectively, the new coefficients are COP <sub>th</sub> = 2.09 and
641	a $\text{COP}_{el}$ = 1.05. Comparing these values with those obtained by Walker et al. (2017) (COP <sub>th</sub>
642	= 5.55 and $\text{COP}_{el}$ = 1.47) the prototype reported in this study gives a lower performance
643	explained by the 33.8% lower OLR of operation.
644	
645	The assessment of the reactor was also performed considering a theoretical increase in OLR
646	to a value of 2.7 g VS/L d. Results for this scenario are shown in blue colour in Fig. 8 $$
647	affecting some of the energy quantities estimated. These results considered the same methane
648	yield. The increase in OLR caused a proportional increase in the electricity produced and
649	thermal energy available. Regarding the demand for thermal energy, a decrease in the
650	theoretical operating point relative to the one tested was observed, from 3,065 to 2,407 kJ/kg
651	VS. This decrease is explained by the fact that thermal losses of the digester remain constant
652	regardless of the OLR applied. The demand of electrical energy has a similar trend, resulting
653	in a decrease when evaluating the theoretical operating point, from 3,061 (experimental
654	value) to 1,725 kJ/kg VS. In this case, the decrease is greater because the consumption of the
655	different electric actuators remains about the same in spite of the increase in OLR, but for the

656	main pump and heating pump which have higher energy demands. Values of $\ensuremath{\mathrm{COP}_{th}}$ and
657	$\mathrm{COP}_{el}$ for this theoretical point were also calculated. The $\mathrm{COP}_{th}$ is increased from 2.64 to
658	3.37, because heat losses remain constant causing a better thermal efficiency per unit of
659	volatile solid. The $\mathrm{COP}_{el}$ has a behaviour similar to that of $\mathrm{COP}_{th}$ due to the same reason, in
660	this case it rises from 0.95 to 1.68, because much of the electricity demand of the plant
661	presents minimum variations regarding the OLR applied.

# 663 4. Conclusions

The assessment of the prototype was successfully carried out obtaining a methane yield of 360 L/kg VS at an OLR of 1.06 g VS/L d (calculated using data from days 55 to 90 of operation) in spite of the high concentrations of ammoniacal nitrogen (5100 mg/L). Most of the thermal energy requirements were associated with the raise of temperature of the feed to process conditions. The efficiency of the heating system was crucial, since a large amount of both electrical and thermal energy was necessary for operation, accounting for more than 80% of the total energy demand of the installation.

672	The energy assessment of the process was carried out assuming the incorporation of a
673	combined heat and power (CHP) unit for valorising biogas and avoiding the use of electrical
674	heaters for the supply of heat. The Sankey diagram showed that the process was thermally
675	sustainable, since only 37.8% of the useful thermal energy generated by the CHP system
676	would be used to meet the heat demand of the reactor. However, it should be pointed out that
677	36.9% of the energy used in heating was lost due to thermal losses associated with the reactor
678	external surface and piping along with inefficiencies of the heating exchange system. The
679	$\mathrm{COP}_{\mathrm{el}}$ parameter was slightly lower than the unit indicating that the micro-plant was close to
680	reaching self-sufficiency. The OLR of the process was decisive for the overall performance

681	directly influencing the energy consumption per unit of volatile solid and therefore the energy								
682	efficiency indicators. The evaluation of operating parameters when estimated at an OLR of								
683	2.7 g VS/ L d would result in values of 3.37 for the $\mathrm{COP}_{\mathrm{th}}$ and 1.68 for the $\mathrm{COP}_{\mathrm{el}}$								
684									
685									
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828	Supplementary Material Caption	
829	ESM. Details of Micro plant description and operation. Parameters recorded during the	
830	operation of the plant. Operating time of the different equipment constituting the micro-plant	
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Table 1. Chemical characterisation of food wastes obtained from the cattering school of Toledo

Parameter	Value
Total solids (%) <sup>1</sup>	$20.6\pm0.8$
Volatile solids $(\%)^1$	$16.0 \pm 1.0$
Organic matter $(\%)^2$	$59.2\pm4.6$
Moisture (%)	79.4 ± 2.3
Ash $(\%)^2$	$22.3 \pm 1.2$
TN $(\%)^2$	$1.80\pm0.13$
C/N ratio	$18.7 \pm 1.9$
рН	$5.70\pm0.2$
Conductivity $(\mu S/cm)^1$	$285.3\pm3.5$
$N-NH_3 (ppm)^1$	$2.71\pm0.11$
$N-NO^{3-}$ (ppm) <sup>2</sup>	$1.48\pm0.10$
Total P (ppm) <sup>2</sup>	$2.64\pm0.13$
Ca (ppm) <sup>2</sup>	$57.21 \pm 0.31$
Mg $(ppm)^2$	$2.38\pm0.14$
$K (ppm)^2$	$23.35\pm0.10$

Na (ppm) <sup>2</sup>	$1.03 \pm 0.06$
Mn (ppm) <sup>2</sup>	$71.90 \pm 2.11$
Fe $(ppm)^2$	$1.84 \pm 0.11$
Cu (ppm) <sup>2</sup>	$11.40 \pm 0.45$
$Zn (ppm)^2$	$1.48\pm0.07$

<sup>-1</sup> Wet basis, <sup>2</sup> Dry basis, TN: Total nitrogen

Table 2. Parameters of biological performance obtained from the digestion test for theevaluation period from day 55 to day 90

Parameter	Value
Substrate, TS (%)	27.5 ± 3.7
Substrate, VS (%),	23.1 ± 4.5
Biogas production (L/d)	$2.746\pm469$
Methane volumetric production (mL CH <sub>4</sub> /L d)	$378 \pm 65$
Methane yield (mL CH <sub>4</sub> /g VS <sub>added</sub> )	$360 \pm 67$
Methane concentration (%)	$64.3\pm0.6$
OLR (g VS/L d)	$1.06\pm0.15$
HRT (d)	55.3 ± 11.0
TS (%)	$3.11\pm0.62$
VS (%)	$1.53\pm0.41$
VS removal (%)	93.1±1.2

OLR: Organic loading rate, HRT: Hydraulic retention time.

Figure 1. a) Selection and transport of food wastes to the pre-treatment unit for grinding. b) Main components of the micro-plant for decentralised digestion of food wastes







Figure 2. Mass flow evolution in the pre-treatment tank for the 106-day evaluation period

Figure 3. Mass balances of the AD system a) Mass flow of the different streams expressed in kg/m<sup>3</sup> d for the digester unit, b) and for the experimental period between days 55 and 90. VS balances c) organic loading of the different streams expressed in g  $VS/m^3$  d, d) and for the experimental period between days 55 and 90



c) Feeding Methane Waste stream substrate Effluent Digestate 242 L/m<sup>3</sup> d 53 g VS/m<sup>3</sup> d  $625\,\mathrm{g\,VS/m^3\,d}$ 707 g VS/m<sup>3</sup> d 172 g VS/m³ d 30.8% 69.2% 88.4% Digester 16.8% 5.2% Recycling VS loss 119 g VS/m<sup>3</sup> d 37 g VS/m<sup>3</sup> d



Figure 4. Chemical parameters obtained from digester performance: a) Daily biogas production, b) TS and VS content expressed as percentage of TS, c) pH, ammonia and free ammonia concentration, d) Volatile fatty acids, e) alkalinity represented as total alkalinity (TA), partial alkalinity (PA) and alkalinity ratio (AR).



Figure 5. Pie chart representing energy demand of the prototype for the different operating states and circular graphics representing the energy consumption by devices in each state during the period 55 - 90 days. Values are expressed per unit of VS treated



Figure 6. Sankey diagram representing energy flow of the pilot-plant (Scenario B) for the treatment of food waste using an anaerobic reactor and a pre-treatment unit based on the solubilisation of particulate material by the addition of digestate. Tested conditions at an OLR of 1.06 g VS/L d. Data reported in blue correspond to results obtained when the hypothetical OLR applied is 2.7 g VS/L d.



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